

Fund Report Card
Principal Pnb LT Equity-3Y-I(G)
Fund Objective/Mission

To achieve long-term capital appreciation by investing in equity and equity related instruments. The fund shall primarily focus on the small and mid cap companies. The fund defines the small and mid cap stocks as follows: any stock having market capitalisation below Rs. 600 crores as a small cap stock and any stock having market capitalisation below Rs. 3500 crores as a mid cap stock

Fund House Details

AMC Name: Principal Pnb Asset Management Company Private Limited
Address: Exchange Plaza, 'B' Wing, 3 Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.
Website: www.principalindia.com

Financial Details

| | |
|--------------------------------------|----------|
| AUM As On (30-Sep-2009) | 173.3322 |
| NAV As On (16-Feb-2010) | 10.5 |
| Min Investment (in Rs.) | 5000 |
| NAV (52WeekHigh){18-Jan-2010} | 11.29 |
| NAV (52WeekLow){09-Mar-2009} | 4.11 |

Top 10 Companies

| Name | (%) | |
|-------------------------------|-----|---|
| Subros Ltd. | 4.0 | ↑ |
| Future Value Retail Ltd | 3.8 | ↑ |
| Sintex Industries Ltd. | 3.8 | ↓ |
| AIA Engineering Ltd. | 3.7 | ↑ |
| Sagar Cements Ltd. | 3.7 | ↓ |
| Reverse Repo | 3.3 | ↓ |
| NRB Bearings Ltd. | 3.2 | ↑ |
| Godrej Consumer Products Ltd. | 3.0 | ↓ |
| Adhunik Metaliks Ltd. | 2.8 | ↓ |
| Bharti Airtel Ltd. | 2.8 | ↑ |

↑ ↓ ↕ Indicates an increase or decrease or no change in holding since last portfolio. ⚡ Indicates new holding since last portfolio.

Investment Information

| | |
|--------------------------|--------------------|
| Scheme | Close ended scheme |
| Launch Date | 26/Mar/2007 |
| Fund Manager | Shyam Sunder Bhat |
| Bench Mark | CNX Midcap |
| Max.Entry Load(%) | NA |
| Max.Exit Load(%) | NA |

Fund Structure

| | |
|---|----------|
| Total Stocks: | 59 |
| Total Sectors: | 40 |
| P/E Ratio: | 15.65 |
| P/B Ratio: | 1.88 |
| Avg. Market Cap (Rs.On(31/Jan/2010)) | 11260.89 |

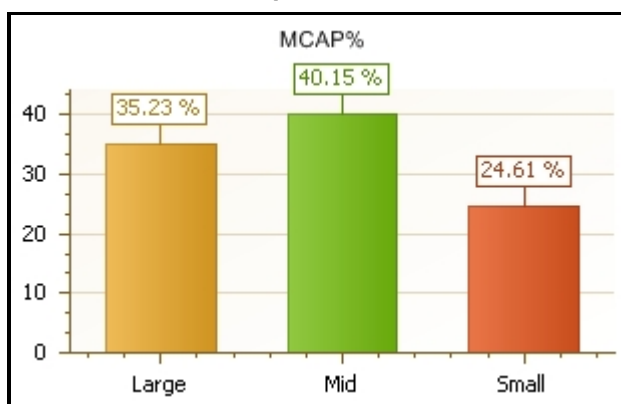
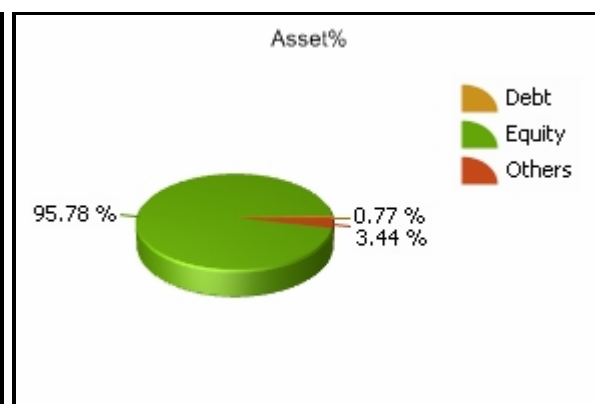
5 Years History

| Financial Year | 2009-2010 | 2008-2009 | 2007-2008 | 2006-2007 | 2005-2006 |
|---|-----------|-----------|-----------|-----------|-----------|
| NAV in Rs.(as on 31st March) | 10.50 | 4.71 | 12.48 | 9.89 | |
| Net Assets(Rs Crores.) (as on 31st March) | 173 | 85 | 284 | NA | |
| Returns(%) | 119.67 | -61.55 | 28.66 | 0.51 | |
| CNX NIFTY Returns(%) | -36.26 | 30.30 | 10.03 | 64.56 | 11.87 |
| Category Rank | 17/(199) | 184/(189) | 45/(179) | 91/(145) | |

* Latest As on 16/Feb/10

Quarterly Performance Last % 5Years

| Financial Year | Q1 | Q2 | Q3 | Q4 |
|------------------|--------|--------|--------|--------|
| 2009-2010 | 67.30 | 24.24 | 10.01 | |
| 2008-2009 | -18.51 | -18.88 | -35.64 | -11.30 |
| 2007-2008 | 14.46 | 8.57 | 45.89 | -30.40 |

Market Capitalisation

Asset Details


*LargeCap- >Rs. 5,000 crores; MidCap- between Rs.750 crores to Rs.5,000 crores; SmallCap- <Rs.750 crores.

SIP Details - Invested Rs 5000 Every Month

| Period | Total Investment (Rs.) | Scheme(Rs) | Benchmark |
|---------|------------------------|------------|-----------|
| 1Year | 60,000 | 93,198 | |
| 3Years | NA | NA | |
| 5Years | NA | NA | |
| 10Years | NA | NA | |

Whats In Whats Out(From Pervious Month)

| | Company | Sector |
|----------------|---------|--------|
| In | 12 | 38 |
| Out | 2 | 0 |
| No Change %age | 43 | 42 |

Top 10 SectorWise Holding

| Industry Name | (%) | |
|---------------------------------|-----|---|
| Auto Ancillary | 9.7 | ↓ |
| Fertilizers | 6.3 | ↓ |
| Household & Personal Products | 6.2 | ↓ |
| Steel/Sponge Iron/Pig Iron | 5.8 | ↓ |
| Other | 5.2 | ↓ |
| Bank - Private | 4.9 | ↓ |
| Cement & Construction Materials | 4.8 | ↓ |
| Electric Equipment | 4.8 | ↓ |
| Plastic Products | 3.9 | ↓ |
| IT - Software | 3.4 | ↓ |

↑ ↓ Indicates an increase or decrease in holding since last portfolio

Scheme Performance As On (16/Feb/10)

| Period | Returns | B'mark | Rank |
|-----------------|---------|--------|----------|
| 3 Months | 7.25 | 1.53 | 18/(200) |
| 6 Months | 23.09 | 22.64 | 26/(194) |
| 1 Year | 123.40 | 114.52 | 23/(189) |
| 3 Years | NA | NA | NA |
| 5 Years | NA | NA | NA |
| Since Inception | 2.26 | 15.14 | NA |

Volatility Measures

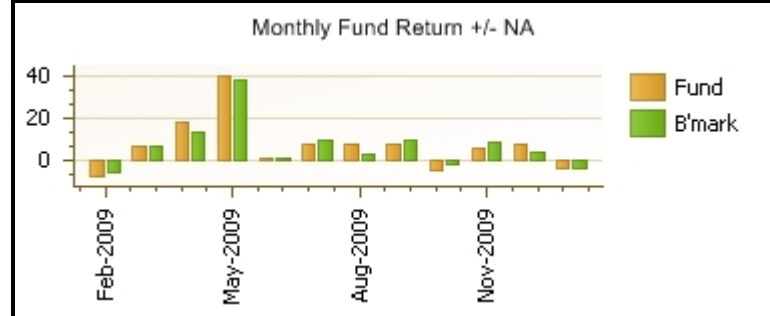
| | | |
|------|---------------|------|
| Fama | -0.14 Std Dev | 2.11 |
| Beta | 0.99 Sharpe | 0.12 |

Best/Worst Return

| Period | Fund(%) | B'mark(%) |
|-------------------------------------|---------|-----------|
| Month 29/04/09 to 02/06/09 | 46.04 | 42.67 |
| Quarter 02/09/08 to 02/12/08 | 100.24 | 92.47 |
| Year 03/02/09 to 03/02/10 | 129.61 | 124.23 |

Best Return
Worst Return

| Period | Fund(%) | B'mark(%) |
|----------------------|---------|-----------|
| 26/09/08 to 27/10/08 | -40.85 | -34.97 |
| 02/09/08 to 02/12/08 | -52.95 | -44.66 |
| 07/01/08 to 07/01/09 | -71.81 | -62.23 |

Fund Performance Vis-a-vis Benchmark


DISCLAIMER: