

Common Application Form for Equity, Monthly Income and Balanced Schemes

(For Lumpsum / Systematic Investments)



Expertise that's trusted

Sr. No.: **A****I. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund)**

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio Number:	<input type="checkbox"/> SIP Form Attached
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MANDATORY [Please tick (✓)] (Refer Instruction - F)

APPLICANT DETAILS	PAN * please attach proof	Know Your Client (KYC)	STATUS
FIRST APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI/NRO <input type="checkbox"/> NRI/NRE <input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLP	<input type="checkbox"/> Partnership <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Pvt. Ltd. Co. <input type="checkbox"/> FII <input type="checkbox"/> On behalf of Minor <input type="checkbox"/> Others <small>Please specify</small>
SECOND APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied		<input type="checkbox"/> Societies <input type="checkbox"/> HUF <input type="checkbox"/> PIO <input type="checkbox"/> Body Corporate <input type="checkbox"/> FOF
THIRD APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied		
GUARDIAN/POA HOLDER	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied		

2. SOLE / FIRST APPLICANT'S PERSONAL DETAILS (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words, as it appears in your Bank Account)

Name	Mr Ms M/s	<input type="text"/>
Date of Birth	<input type="text"/>	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI

Guardian Name (in case of Minor) **Contact Person** - Designation (non individual) / **PoA Holder** (investments made of constitutional attorney).

Name	Mr Ms M/s	<input type="text"/>
Date of Birth	<input type="text"/>	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI

Address [P. O. Box Address is not sufficient] (Indian address in case of NRI's / FII's)

City	<input type="text"/>	Pin code Mandatory
State	<input type="text"/>	Country

Contact Details

Phone	O	<input type="text"/>	Extn.	<input type="text"/>	Fax	<input type="text"/>	Mobile	<input type="text"/>
	R	<input type="text"/>						
e-mail	<input type="text"/>							

I/We wish to receive the following via e-mail in lieu of physical document(s) (Please ✓) ☐ **Account Statement** ☐ **Annual Report** ☐ **Other Communication****Overseas Address** (Mandatory in case of NRI / FII applicant in addition to mailing address)

City	<input type="text"/>
State	Country
	Zip code

I/We confirm that I am/we are non-residents of Indian nationality/origin & that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Occupation (please ✓)	<input type="checkbox"/> Agriculture	<input type="checkbox"/> Business	<input type="checkbox"/> Service	<input type="checkbox"/> Professional	<input type="checkbox"/> Housewife	<input type="checkbox"/> Retired	<input type="checkbox"/> Student	<input type="checkbox"/> Other
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3. JOINT APPLICANT'S DETAILS**Second Applicant**

Name	Mr Ms M/s	<input type="text"/>
Date of Birth	<input type="text"/>	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI

Third Applicant

Name	Mr Ms M/s	<input type="text"/>
Date of Birth	<input type="text"/>	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI

Name of Power of Attorney holder for investment on behalf of Applicant.

Name	Mr/Ms/M/s.	<input type="text"/>
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Mode of Holding (please ✓) ☐ Single OR ☐ Joint OR ☐ Anyone or Survivor **Default Option: Joint****ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)**Sr. No.: **A**

Received from Mr. / Ms. / M/s.	<input type="text"/>		
an application for Units of	<input type="text"/>		
Plan	Option	Sub-option	<input type="text"/>
alongwith Cheque / DD No.	Dated	Drawn on (Bank)	<input type="text"/>
	Amount (₹)	<input type="text"/>	

Signature, Stamp & Date

Subject to realisation of cheque / Demand Draft and verification of mandatory information / document.

4. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction H																													
All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected																													
Name of the Bank																													
Branch										Account Type										<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE									
Account No. (in Fig.)																													
Bank Address																													
City										State																			
PIN										^ MICR Code										^ (To be filled in only if dividend is to be paid through ECS).									
*IFSC Code for RTGS																				*IFSC Code for NEFT									
* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)																													

5. DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS - Refer Instruction I	
Unitholders having bank account with ICICI Bank Ltd/HDFC Bank Ltd/Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only - subject to validation) & who have provided the NEFT/RTGS/ECS code may receive their redemption/dividend proceeds (if any) directly into their bank accounts. In case you wish to receive a cheque/demand draft, please tick here <input type="checkbox"/>	

6. SCHEME DETAILS Refer Page 6 & 7 for correct scheme name	
Scheme Name _____	Plan _____
Options _____	Dividend Frequency <input type="text"/>

Scheme Name : TATA INFRASTRUCTURE FUND GROWTH OPTION	Would you like to avail of the Trigger Facility? <input type="checkbox"/> Yes <input type="checkbox"/> No
Trigger choice: (Tick (✓) NAV Appreciation): <input type="checkbox"/> 5% <input type="checkbox"/> 10% (Default: 10%)	Trigger option: <input type="checkbox"/> Switch to Tata Floater Fund - Growth Option <input type="checkbox"/> Redeem
Default Trigger at 10%.	(Default: Switch to Tata Floater Fund)

INVESTMENT DETAILS (Strike off whichever is not applicable)	
Gross Amount (A)	DD Charges (if any) (B)
₹ <input type="text"/>	<input type="text"/>
Mode of Payment	Net Amount (Cheque / DD Amount)
A/c No. _____ A/c Type _____ Cheque / DD No. _____	₹ <input type="text"/> A minus B <input type="text"/>
Drawn on Bank	Dated
Branch	<input type="text"/>

<input type="checkbox"/> SIP THROUGH AUTO-DEBIT (ECS) Please fill up enclosed SIP Auto Debit (ECS) Facility Form and submit it together with this Application Form.	<input type="checkbox"/> SIP THROUGH POST-DATED CHEQUES Please fill attached SIP form for Post Dated Cheques (PDCs)
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NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) - please refer instruction L.					
Name and Address of the Nominee(s)	Nominee's Relationship with 1st unitholder	Proportion (%) by which the units shared by will be shared by each nominee (% to aggregate to 100%)	Date of Birth	Name & Address of Guardian	Signature of Guardian
(to be furnished in case the nominee is minor)					
Nominee 1					
Nominee 2					
Nominee 3					

7. DECLARATION AND SIGNATURES. Refer Instruction - C	
<p>The Trustee, Tata Mutual Fund</p> <p>a) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law.</p> <p>b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary.</p> <p>c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.</p> <p>Date: _____</p>	<div style="border: 1px solid black; height: 100px; margin-bottom: 10px;"></div> <div style="border: 1px solid black; height: 100px; margin-bottom: 10px;"></div> <div style="border: 1px solid black; height: 100px;"></div>

CHECKLIST		
Tata Mutual Fund: Toll Free No. 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Checklist: Documents as listed below are submitted along with this application .		
Document List 1. PAN <input type="checkbox"/> 2. KYC <input type="checkbox"/> 3. Resolution / Authorisation to invest <input type="checkbox"/> 4. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	Document List 5. Memorandum & Articles of Association <input type="checkbox"/> 6. Trust Deed <input type="checkbox"/> 7. Bye-Laws <input type="checkbox"/> 8. Partnership Deed <input type="checkbox"/>	Document List 9. Overseas Auditor's Certificate <input type="checkbox"/> 10. Notarised Power of Attorney <input type="checkbox"/> 11. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/> 12. MICROSIP document <input type="checkbox"/> 13. Others _____
All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.		

Common Application Form for Equity, Monthly Income and Balanced Schemes

(For Lumpsum / Systematic Investments)



Expertise that's trusted

Sr. No.: **A**

Please refer the instructions while filling the Application Form. Tick (✓) whichever is applicable. (Strike out which is not required)

1. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund)

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio Number: ☐ **SIP Form Attached**

MANDATORY [Please tick (✓)] (Refer Instruction - F)

APPLICANT DETAILS	PAN * please attach proof	Know Your Client (KYC)	STATUS
FIRST APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI/NRO	<input type="checkbox"/> Partnership <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Societies
SECOND APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> NRI/NRE	<input type="checkbox"/> Pvt. Ltd. Co. <input type="checkbox"/> HUF
THIRD APPLICANT	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship	<input type="checkbox"/> FII <input type="checkbox"/> Body Corporate
GUARDIAN/POA HOLDER	<input type="text"/> <input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Others <input type="text"/>	<input type="checkbox"/> On behalf of Minor <input type="checkbox"/> FOF

2. SOLE / FIRST APPLICANT'S PERSONAL DETAILS (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words, as it appears in your Bank Account)

Name ☐ Mr ☐ Ms ☐ M/s ☐ Status: (✓) ☐ RI ☐ NRI

Date of Birth ☐ DD ☐ MM ☐ YY ☐ YY ☐ YY ☐ YY

Guardian Name (in case of Minor) **Contact Person** - Designation (non individual) / **PoA Holder** (investments made of constitutional attorney).

Name ☐ Mr ☐ Ms ☐ M/s ☐ Status: (✓) ☐ RI ☐ NRI

Date of Birth ☐ DD ☐ MM ☐ YY ☐ YY ☐ YY ☐ YY

Address [P. O. Box Address is not sufficient] (Indian address in case of NRI's / FII's)

City Pin code Mandatory

State Country

Contact Details

Phone ☐ O ☐ R ☐ Extn. Fax Mobile

e-mail

I/We wish to receive the following via e-mail in lieu of physical document(s) (Please ✓) ☐ Account Statement ☐ Annual Report ☐ Other Communication

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address)

City

State Country Zip code

I/We confirm that I am/we are non-residents of indian nationality/origin & that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Occupation (please ✓) ☐ Agriculture ☐ Business ☐ Service ☐ Professional ☐ Housewife ☐ Retired ☐ Student ☐ Other

3. JOINT APPLICANT'S DETAILS

Second Applicant

Name ☐ Mr ☐ Ms ☐ M/s ☐ Status: (✓) ☐ RI ☐ NRI

Date of Birth ☐ DD ☐ MM ☐ YY ☐ YY ☐ YY ☐ YY

Third Applicant

Name ☐ Mr ☐ Ms ☐ M/s ☐ Status: (✓) ☐ RI ☐ NRI

Date of Birth ☐ DD ☐ MM ☐ YY ☐ YY ☐ YY ☐ YY

Name of Power of Attorney holder for investment on behalf of Applicant.

Name ☐ Mr ☐ Ms ☐ M/s

Mode of Holding (please ✓) ☐ Single OR ☐ Joint OR ☐ Anyone or Survivor **Default Option: Joint**

ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)

Sr. No.: **A**

Received from Mr. / Ms. / M/s.

an application for Units of

Plan Option Sub-option

alongwith Cheque / DD No. Dated Drawn on (Bank)

Amount (₹)

Signature, Stamp & Date

Subject to realisation of cheque / Demand Draft and verification of mandatory information / document.

4. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction H																													
All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected																													
Name of the Bank																													
Branch																Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE												
Account No. (in Fig.)																													
Bank Address																													
City											State																		
PIN						^ MICR Code										^ (To be filled in only if dividend is to be paid through ECS).													
*IFSC Code for RTGS																				*IFSC Code for NEFT									
* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)																													

5. DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS - Refer Instruction I	
Unitholders having bank account with ICICI Bank Ltd/HDFC Bank Ltd/Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only - subject to validation) & who have provided the NEFT/RTGS/ECS code may receive their redemption/dividend proceeds (if any) directly into their bank accounts. In case you wish to receive a cheque/demand draft, please tick here <input type="checkbox"/>	

6. SCHEME DETAILS Refer Page 6 & 7 for correct scheme name	
Scheme Name _____	Plan _____
Options _____	Dividend Frequency <input style="width: 100px;" type="text"/>

Scheme Name : TATA INFRASTRUCTURE FUND GROWTH OPTION	Would you like to avail of the Trigger Facility? <input type="checkbox"/> Yes <input type="checkbox"/> No
Trigger choice: (Tick (✓) NAV Appreciation): <input type="checkbox"/> 5% <input type="checkbox"/> 10% (Default: 10%)	Trigger option: <input type="checkbox"/> Switch to Tata Floater Fund - Growth Option <input type="checkbox"/> Redeem
Default Trigger at 10%.	(Default: Switch to Tata Floater Fund)

INVESTMENT DETAILS (Strike off whichever is not applicable)	
Gross Amount (A)	DD Charges (if any) (B)
₹ <table border="1" style="display: inline-table; width: 150px; height: 20px; vertical-align: middle;"></table>	<table border="1" style="display: inline-table; width: 100px; height: 20px; vertical-align: middle;"></table>
Mode of Payment	Net Amount (Cheque / DD Amount)
A/c No. _____ A/c Type _____ Cheque / DD No. _____	₹ <table border="1" style="display: inline-table; width: 150px; height: 20px; vertical-align: middle;"></table>
Drawn on Bank	Dated
Branch	<table border="1" style="display: inline-table; width: 100px; height: 20px; vertical-align: middle;"></table>

<input type="checkbox"/> SIP THROUGH AUTO-DEBIT (ECS) Please fill up enclosed SIP Auto Debit (ECS) Facility Form and submit it together with this Application Form.	<input type="checkbox"/> SIP THROUGH POST-DATED CHEQUES Please fill attached SIP form for Post Dated Cheques (PDCs)
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NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) - please refer instruction L.					
Name and Address of the Nominee(s)	Nominee's Relationship with 1st unitholder	Proportion (%) by which the units shared by will be shared by each nominee (% to aggregate to 100%)	Date of Birth	Name & Address of Guardian	Signature of Guardian
(to be furnished in case the nominee is minor)					
Nominee 1					
Nominee 2					
Nominee 3					

7. DECLARATION AND SIGNATURES. Refer Instruction - C	
The Trustee, Tata Mutual Fund a) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. Date: _____	<div style="border: 1px solid black; height: 100px; margin-bottom: 10px; text-align: center; color: gray;">1st Unitholder Signature / Thumb Impression</div> <div style="border: 1px solid black; height: 100px; margin-bottom: 10px; text-align: center; color: gray;">2nd Unitholder Signature / Thumb Impression</div> <div style="border: 1px solid black; height: 100px; text-align: center; color: gray;">3rd Unitholder Signature / Thumb Impression</div>

CHECKLIST		
Tata Mutual Fund: Toll Free No. 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Checklist: Documents as listed below are submitted along with this application .		
Document List 1. PAN <input type="checkbox"/> 2. KYC <input type="checkbox"/> 3. Resolution / Authorisation to invest <input type="checkbox"/> 4. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	Document List 5. Memorandum & Articles of Association <input type="checkbox"/> 6. Trust Deed <input type="checkbox"/> 7. Bye-Laws <input type="checkbox"/> 8. Partnership Deed <input type="checkbox"/>	Document List 9. Overseas Auditor's Certificate <input type="checkbox"/> 10. Notarised Power of Attorney <input type="checkbox"/> 11. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/> 12. MICROSIP document <input type="checkbox"/> 13. Others _____
All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.		



Expertise that's trusted

TO BE USED FOR ALL SCHEMES OTHER THAN TATA SERVICE INDUSTRIES FUND AND TATA CONTRA FUND

SIP AUTO DEBIT FACILITY

New Investors are also requested to fill-in the scheme application form

REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing / Standing Instruction / Direct Debit Facility in select banks only)

First SIP cheque and subsequent via Auto Debit in select cities only. (Please attach copy of cheque / cancelled cheque)

☐ New Registration with TMF

☐ Change in Bank Account for existing Registration with TMF

☐ MICRO SIP

Broker /
Agent Code

Sub-Broker /
Bank Branch Code

M.O. Code

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name

Folio/Application No.

Scheme

Plan

Option and Sub Option

SIP AND BANK DETAILS

Each SIP Amount (₹)

Frequency Monthly (Default)

☐ Quarterly

Status: ☐ RI

☐ NRI

Amount in words

First SIP Cheque Details:

Cheque No.:

Cheque Amount in ₹

Cheque Date : ___/___/___

SIP Auto Debit Dates:

☐ 1st

☐ 7th

☐ 10th

☐ 15th

☐ 20th

☐ 25th

☐ 28th

SIP Period: Start From

End On

SIP date should be either 1st / 7th / 10th / 15th / 20th / 25th / 28th (Note: Cheque should be drawn on bank details provided below)

(Note: Please allow minimum one month for auto debit to register & start). I hereby, authorise Tata Mutual Fund (TMF) and their authorised service providers, to debit my following bank account by ECS (Debit Clearing) / direct debit / standing instruction to account for collection of SIP payments.

PARTICULARS OF BANK ACCOUNT

**Account holder Name
as in Bank Account**

Bank Name

Branch Name

Account Type

☐ Savings

☐ Current

☐ Cash

☐ Credit

☐ NRO

☐ NRNR

☐ NRE

9 Digit MICR Code (Mandatory for ECS)

Core banking A/c no.

(in figures)

DECLARATION

I/We hereby declare that the particulars given above are correct & complete & express my willingness to make payments referred above through participation in ECS/Direct Debit/ Standing Instruction. I/We will also inform TAML, about any changes in my bank account. I/We have read & agreed to the terms & conditions mentioned overleaf.

This is to inform I/We have registered for RBI's Electronic Clearing Service (Debit Clearing)/ Direct Debit/Standing Instruction Facility & that my payment towards my investment in Tata Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorize the representative carrying this ECS/Direct Debit/Standing Instruction mandate Form to get it verified & executed.

To - Branch Manager, _____ Bank, I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund or the above mentioned Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday & allotment of units will happen as per the Terms & Conditions listed in the KIM/SID/SAI of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of above mentioned Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control & which has the effect of preventing the performance of the contract by Bank. I/We have noted the contents of the Direct Debit Facility Agreement/ Standing Instruction entered by Tata Mutual Fund with the Bank & I/we are also bound by the terms thereof.

I/We acknowledge that no separate intimation will be received from the Bank in case of non-execution of the instructions for any reasons whatsoever.

To - The Trustee, Tata Mutual Fund, Mumbai. Having read & understood the contents of SAI/SID/KIM of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price & agree to abide by terms, conditions, rules & regulations of scheme/s. For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.

SIGNATURE/S AS PER BANK ACCOUNT (MANDATORY)

Sole /1st Account Holder's Signature (as in bank records)	
2nd Account Holder's Signature (as in bank records)	
3rd Account Holder's Signature (as in bank records)	

SIGNATURE/S AS PER TATA MUTUAL FUND RECORDS (MANDATORY)

Sole /1st Account Holder's Signature	
2nd Account Holder's Signature	
3rd Account Holder's Signature	

(To be signed as per the mode of operations, i.e. all holders to sign if the mode of operations is joint)

FOR OFFICE USE ONLY (NOT TO BE FILLED IN BY INVESTOR)

Recorded on

Recorded by

Scheme Code

Credit A/c Number

Bank use Mandate Ref. No. _____

Customer Ref. No. _____

SYSTEMATIC WITHDRAWAL PLAN (SWP)

To,
The Trustee, Tata Mutual Fund, Mumbai
Having read & understood the contents of the SAI / SID / KIM & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the SWP Enrolment for the following Schemes & agree to abide by the terms & conditions of the Plan.

Folio No.:

Broker / Agent Code	Sub-Broker / Bank Branch Code	M.O Code :
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Name : _____
Email : _____

Scheme	Option
<input type="checkbox"/> Fixed Amount ₹ (in words) <input type="checkbox"/> Capital Appreciation

Period of Enrolment (MM/YY)
From : ____ / ____ / ____ To : ____ / ____ / ____ **Frequency** ☐ Monthly ☐ Quarterly
Date: ____ **1st / 7th / 10th / 20th / 28th of the month** (in case of holiday, next business day)
(in case the date is not mentioned, the 1st day of the month will be taken as the default date)
First payout Date: ____ **(that is the first payment date)**
(in case the first payout date is not mentioned, the 1st day of the following month will be taken as the default date)
Last Payout Date: ____ **(that is the last payment date)**
(in case the last payout date is not mentioned, the payout will continue until the balance units are reduced to zero.)

SIGNATURES

_____ Sole/First Applicant	_____ Second Applicant	_____ Third Applicant
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Loads as applicable from time to time.
INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.
N.B SWP should reach ISCs before 7 working days from the start of first SWP date.

SYSTEMATIC TRANSFER PLAN (STP)

To,
The Trustee, Tata Mutual Fund, Mumbai
Having read & understood the contents of the SAI / SID / KIM of the relevant schemes & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the STP Enrolment under the following Schemes & agree to abide by the terms & conditions of the Plan. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

Broker Code :	Sub Broker Code :	M.O Code :
---------------	-------------------	------------

Folio No. _____ Name: _____

Transfer from (Scheme): _____ Option: _____
Transfer to (Scheme): _____ Option: _____

No of Units: _____ or Amount (₹): _____ Amount (in words): _____

or Dividend ☐ or Capital Appreciation ☐

STP period from: ____ / ____ / ____ To : ____ / ____ / ____
(dd) (mm) (yy) (dd) (mm) (yy)

Transfer Frequency:

<input type="checkbox"/> Daily Only from Monday to Friday*	<input type="checkbox"/> Weekly (Only on Fridays)	<input type="checkbox"/> Monthly <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 28th Days of the month
Select any one		
In case the day of STP is a non business day the request will be considered for the next business day.		

Email (mandatory for Daily STP): _____
I/We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy ☐ Yes ☐ No (please refer notes overleaf)

SIGNATURES

Sole/First Applicant

Second Applicant

Third Applicant

Loads as applicable from time to time.

INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.

Note: STP should reach AMC offices / Registrar offices before 15 workings days from the start of first STP date.

* In case any day is a non business day for any one of the schemes (either STP from or STP to scheme) the STP will be processed as per the matrix provided in the instructions no. '9'.

SYSTEMATIC INVESTMENT PLAN (SIP)

To
The Trustee,
TATA MUTUAL FUND
Mumbai

Date : _____

Having read and understood the contents of the Scheme Information Document and the instructions and information contained therein, I/We hereby apply for the Systematic Investment Plan (SIP) and agree to abide by the terms and conditions mentioned in the plan.

Folio No. _____ Application No.: (for new investors) _____

Broker / Agent Code	ARN-0032	Sub-Broker / Bank Branch Code	M.O Code :
------------------------	----------	----------------------------------	------------

Name of First / Sole Applicant : _____
Name of Second Applicant : _____
Name of Third Applicant : _____
Email : _____

Scheme _____

Option _____

₹ _____ (in words _____)

Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		Dates: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th <input type="checkbox"/> 28th	
Cheque No(s).	Dated	Amount (₹)	
		in figures	in words
1.	/ /		
2.	/ /		
3.	/ /		
4.	/ /		
5.	/ /		
6.	/ /		
7.	/ /		
8.	/ /		
9.	/ /		
10.	/ /		
11.	/ /		
12.	/ /		

Drawn on Bank : _____
Branch : _____

SIGNATURES _____ **Sole/First Applicant** _____ **Second Applicant** _____ **Third Applicant** _____

**In case of new application/s, kindly attach duly filled in application form.*

SIP Load Structure as on date of 1st SIP Instalment date (In case of Direct Debit) / Cheque would be applicable.
N.B. Investment under Systematic Investment Plan can be made at Authorised Investor Service Centres only.

PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)			
APPLICANT DETAILS	PAN * please attach proof		Know Your Client (KYC)
FIRST APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
SECOND APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
THIRD APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
GUARDIAN/POA HOLDER	<input type="text"/>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied

THIRD PARTY PAYMENT DECLARATION FORM

Expertise that's trusted

Third Party Payment Declaration Form should be completed in English and in **BLOCK LETTERS** only.
(Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

Declaration Form No.

FOR OFFICE USE ONLY

Date of Receipt	Folio No.	Branch Trans. No.

1. BENEFICIAL INVESTOR INFORMATION (Refer Instruction No. 2)

Folio No. (For existing investor)		Application No.	
NAME OF FIRST/SOLE APPLICANT (BENEFICIAL INVESTOR)			
Mr./Ms./M/s.			

2. THIRD PARTY INFORMATION (Refer Instruction No. 3)

NAME OF THIRD PARTY (PERSON MAKING THE PAYMENT)			
Mr./Ms./M/s.			
Nationality		PAN#	
KYC** [Please tick(✓)] Attached (Mandatory for any amount)			

#Mandatory for any amount. Please attach PAN Proof. Refer instruction No. 6. ** Refer instruction No. 8.

NAME OF CONTACT PERSON & DESIGNATION (in case of non-Individual Third Party)

Mr./Ms./M/s.	
Designation	

MAILING ADDRESS (P.O. Box Address may not be sufficient)

CITY		STATE		PIN CODE	
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CONTACT DETAILS

Tel. : Off.		Tel. : Res.		Mobile.	
Fax		Email			

RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR (Refer Instruction No. 3) [Please tick (✓) as applicable]

Status of the Beneficial Investor	<input type="checkbox"/> Minor	<input type="checkbox"/> FII <input type="checkbox"/> Client	<input type="checkbox"/> Employee (s)								
Relationship of Third Party with the Beneficial Investor	<input type="checkbox"/> Parent <input type="checkbox"/> Grand Parent <input type="checkbox"/> Related Person	Custodian SEBI Registration No. of Custodian Registration Valid Till <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Employer
D	D	M	M	Y	Y	Y	Y				
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I/We declare that the payment is made on behalf of FII/ Client and the source of this payment is from funds provided to us by FII/Client.	I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans through Payroll Deductions.								

3. Third Party payment details (Refer instruction No. 4)

Mode of Payment [Please tick (✓)]	Mandatory Enclosure(s)*
Cheque <input type="checkbox"/>	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Banker's Cheque <input type="checkbox"/>	Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument.
RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer <input type="checkbox"/>	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

* Tata Mutual Fund (Tata Mutual Fund/Tata Asset Management Limited ("Tata AMC")) reserves the right to seek information and /or obtain such other additional documents/information from the Third Party for establishing the identity of the Third Party.

Amount#	in figures (₹)	
	in words	
Cheque/DD/PO/UTR No.		Cheque/DD/PO/RTGS Date
Pay- in Bank A/c No.		
Name of the Bank		
Branch		Bank City
Account Type [Please tick (✓)]	<input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> OTHERS	(please specify)

including Demand Draft charges, if any.

4. DECLARATIONS & SIGNATURE/S (Refer Instruction 5)

THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which Tata Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as Tata Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, Tata Mutual Fund / Tata AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that Tata Mutual Fund / Tata AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

Applicable to NRIs only :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

Please () ☐ Yes ☐ No
If yes, () ☐ Repatriation basis
☐ Non-repatriation basis

DD	MM	YYYY

Signature of the Third Party

BENEFICIAL INVESTOR(S) DECLARATION

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that Tata Mutual Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. TATA Mutual Fund/ Tata AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of Tata Mutual Fund/Tata AMC.

Applicable to Guardian receiving funds on behalf of Minor only:

I/We confirm that I/We are the legal guardian of the Minor, registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme on behalf of the minor.

DD	MM	YYYY

SIGNATURE/S

First / Sole
Applicant /
Guardian

Second
Applicant

Third
Applicant

THIRD PARTY PAYMENT RULES

- I. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
- 2a. The following words and expressions shall have the meaning specified herein:
 - (a) **"Beneficial Investor"** is the first named applicant/ investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
 - (b) **"Third Party"** means any person making payment towards subscription of Units in the name of the Beneficial Investor.
 - (c) **"Third Party payment"** is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.
Illustrations
Illustration 1: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.
Illustration 2: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.
Illustration 3: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A. This will not be considered as Third Party payment.
- 2b. Tata Mutual Fund / Tata Asset Management Limited ("Tata AMC") will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
 - (i) Payment by Parents/Grand-Parents/Related Persons* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- each regular Purchase or per SIP installment. (This limit of ₹ 50,000 shall not be applicable for investments in Tata Young Citizen Fund. However, the Donors will have to comply with all the requirements specified in 2c below)
 - (ii) Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) Payroll deductions.
 - (iii) Custodian on behalf of an FII or a Client.* 'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
 - (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
 - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of Tata Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of TATA AMC or visit our website www.tatamutualfund.com for any further information or updates on the same.



Please read documentation requirements and Terms & Conditions overleaf. Please fill in the information below in capital. Strike out the sections which is(are) not required. For enquiry please call us on 1800 209 0101 or e-mail us on kiran@tataamc.com

To

Tata Mutual Fund

DATE	D	D	/	M	M	/	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---	---	---

Please tick (✓) the applicable box below. In the absence of indications of the option, the form is liable to be rejected.

NEW REGISTRATIONS

☐ I/We wish to register multiple bank accounts for my folio. I understand that all the existing bank accounts in the folio will be substituted.

ADDITIONS TO MULTIPLE BANK ACCOUNTS (MAXIMUM 5 ACCOUNTS)

☐ Add one or more bank account(s) to the bank accounts already registered for my folio.
(For unitholders already registered for multiple bank account(s).)

Note: A combination of savings (SB) / NRO accounts is not allowed within a folio. All the bank accounts for receiving redemption / dividend proceeds should be of type SB or NRO in the case the investments are made vide SB or NRO accounts in the folio. If investments are made vide NRE account(s), all the bank accounts registered for redemption should be of type NRE only in the folio.

A. UNITHOLDER INFORMATION

Folio Numbers (s):	1.					/			2.					/			3.					/		
	4.					/			5.					/			6.					/		

Sole/First Unitholder: | | | | | | | | | | | | | | | | | | | | | |

B. DETAILS OF BANK ACCOUNTS - Bank details should be of soleholder / first unitholder

DEFAULT BANK ACCOUNT

[illegible]

SECOND BANK ACCOUNT

Name of the Bank																													
Account Number															Account Type					<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE									
Bank Address																													
Branch															City														
Pincode										MICR Code										IFSC Code for NEFT									
IFSC Code for RTGS										(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, kindly obtain it from your bank branch.)																			
Document attached (any one)															<input type="checkbox"/> Cancelled Cheque <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate														

THIRD BANK ACCOUNT

[illegible]

FOURTH BANK ACCOUNT

[illegible]

FIFTH BANK ACCOUNT

[illegible]

PREFERRED MODE FOR RECEIVING REDEMPTION / DIVIDEND, IF ANY

Unitholders having bank account with **ICICI Bank Ltd / HDFC Bank Ltd / Axis Bank / IDBI Bank / Standard Chartered Bank / Kotak Mahindra Bank / HSBC Bank / Deutsche Bank / Royal Bank of Scotland / Oriental Bank of Commerce / State Bank of India (Core banking centers only - subject to validation) & who have provided the NEFT/RTGS/ECS code may receive their redemption/dividend proceeds (if any) directly into their bank accounts.**

C. UNITHOLDER(S) DECLARATION

I/We have read and understood the terms and conditions given below for registration of /changes to multiple bank accounts. I/We request you to register / add the information to the existing details in your records with the information provided above. I/We understand that my /our application form is liable to be rejected if it is not filled as per the directions provided herein and the correct complete supporting documents are not provided by me/us.

I/We hereby declare that the particulars given above are correct and express my/our willingness to receive credit of dividend/redemption proceeds though the mode indicated above. Notwithstanding my/our choice of mode Tata Mutual Fund reserves the right to issue a cheque / credit the account in the mode that they may deem fit. I/We would not hold Tata Mutual Fund responsible, if the transaction is delayed or not effected at all or credited to an incorrect account for reasons of incomplete /incorrect information.

Signature of First / Sole Unitholder / Guardian

Signature of IInd Unitholder

Signature of IIIrd Unitholder

Signature to be as it appears on the Scheme Investment Application Form recorded with us. Signature to be as per the mode of holding.

SUPPORTING DOCUMENTS REQUIRED

Any of the following documents are valid supporting documents for registration of a bank document:

- (1) A **cancelled original** cheque leaf (where the account number and first unitholder name is printed on the face of cheque).
- (2) A letter from the bank on its letterhead certifying that the unitholder maintains/maintained an account with the bank, the bank account numbers, bank branch, account type, MICR of the branch & IFSC Code for NEFT & IFSC code for RTGS (where available). The letter should be certified by the bank manager with his/her full signature, name employee code, bank seal and contact number.
- (3) A copy of the bank pass book or statement of bank account having the name and address of the account holder and account number. The copy should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- (4) Please note: Only those bank accounts will be registered/added where the valid supporting documents as mentioned above are provided.

TERMS AND CONDITIONS

1. Tata Mutual Fund offers a facility to the investors for registration of multiple bank accounts in a folio for receiving redemption proceed (herein referred to as "the facility"). Individuals/HUF can register upto 5 different bank accounts for a folio by using this form. Non Individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts (only for Non Individual Investors), please use extra copies of this form. Investors have to specify any one bank as "Default". The bank account details mentioned in 'DEFAULT BANK ACCOUNT' section in this form will be considered as "Default".
2. Proceeds of dividends, if any, will be processed into the "Default" bank account only.
3. Proceeds of redemption, if any, will be processed into the "Default" bank account in any of the following scenarios;
 - a. The unitholder(s) does not specify details of any bank account in the redemption request for receiving redemption proceeds
 - b. The unitholder(s) specifies the bank account details of the "Default" bank in the redemption request.
 - c. Maturity of investments in Fixed Maturity Plans (i.e. FMPs) and/or any maturity payout.
 - d. The Unitholder(s) specifies the details of a bank account in the redemption request which is not registered in the folio.
4. Unitholder(s) cannot provide the bank account(s) of any other person to receive the redemption / dividend proceeds. The Unitholder(s) have to submit valid supporting documents for each bank account that they wish to register for receiving redemption / dividend proceeds in the folio. These documents should conclusively prove that the bank accounts provided pertain to the sole / first Unitholder.
5. When unitholder(s) opt for registering multiple bank accounts for the first time in their folio, the details of the bank account currently registered for receipt of redemption /dividend proceeds will be substituted.
6. If multiple bank accounts are already registered for a folio and the unitholder(s) provides a new application form for registering multiple bank account details, the bank account currently for receipt of redemption /dividend proceeds will be substituted.
7. The facility will be activated in the folio within 15 working days from the receipt of duly completed application form at CAMS or AMC office.
8. In case of folio that have availed for 'the facility', any addition / change / deletion in the registered bank accounts will be completed within 15 working days from the receipt of the application form for the same. The request for addition / change / deletion in the registered bank account(s) should be received on application forms available for this purpose. Requests received on a plain paper are liable to be rejected. However, requests for deletion of the registered bank account(s) may be submitted on a plain paper.
9. A letter confirming the registration / addition / change / deletion of multiple bank accounts will be dispatched within 15 working days of the receipt of the application form. Unitholder(s) should preserve this letter for their reference since these bank account details are not mentioned on the account statement.
10. In case 2, 3 or 4 bank accounts have been registered for a folio, unitholder(s) can provide a new bank account. Valid supporting documents for any one of the bank accounts already registered for the folio as well as the new bank account sought to be added for the folio should be submitted.
11. In case of inability to process the request for registration / addition / change / deletion of multiple accounts, intimation will be sent to Unitholder(s).
12. Unitholder(s) can substitute one or more bank accounts registered in the folio by submitting a separate form available for the purpose.
13. Deletion of Bank Accounts:
 - a. Unitholder(s) should submit a written request for deletion of one or more bank account/s registered in the folio. No supporting documents should be submitted along with the request.
 - b. If a request by the Unitholder(s) for deletion of bank account(s) reduces the total number of bank accounts in the folio to One, the request will be considered for opting out of the facility.
 - c. If a request by the Unitholder(s) for deletion of bank account(s) reduces the total number of bank account in the folio to Zero, the request will be rejected.
 - d. The bank account specified as "Default" account cannot be deleted. It can only be submitted with another bank account.
14. The facility for directly credits the Redemption/Dividend payout into the investor's Bank Account in case the account is with ICICI Bank Ltd. / HDFC Bank Ltd. / Axis Bank / IDBI Bank / Standard Chartered Bank / Kotak Mahindra Bank / HSBC Bank / Deutsche Bank / Royal Bank of Scotland / Oriental Bank of Commerce / State Bank of India (Core banking centers only - subject to validation). The list of bank is subject to change from time to time.
15. RTGS / NEFT / ECS are facilities offered by Reserve Bank of India (RBI), for facilitating better customer service by direct credit of dividend/redemption to an investor's bank account through electronic credit. This helps in avoiding loss of dividend/redemption warrant in transit or fraudulent encashment. Payments made through ECS / RTGS / NEFT are subject to applicable rules and policies of RBI and the working of banking system. The Mutual Fund will endeavor to arrange such facility for payment of dividend/redemption proceeds to the Unit holders. It may be noted that there is no commitment from the Mutual Fund that this facility will be made available to the Unit holders for payment of dividend/redemption proceeds.
16. Investors are requested to provide their bank's Indian Financial System code (IFSC), Real Time Gross Settlement (RTGS) or National Electronic Fund Transfer (NEFT) / Magnetic Ink Character Recognition (MICR) code(s).
17. RTGS and NEFT codes may be different for the same bank/branch. Please contact your bank for the details of the same.
18. Any charges levied by the investor's bank for receiving payment through ECS/RTGS/NEFT will be borne by the investor. The Mutual Fund / AMC will not accept any request for refund of such bank charges.
19. Investors who have not opted for the mode of payout through cheque to note that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.
20. Tata Mutual Fund will not be responsible In case the bank does not credit the investor's bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information.
21. Tata Mutual Fund will not be liable for any losses/claims, etc. arising on account of processing the direct credit of redemption / dividend proceeds on the basis of the Bank Account details as provided by the investor in the application form.