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# Key Information Memorandum & Application Form TATA DYNAMIC BOND FUND (An open ended debt fund)

Sr. No. :

Existing Folio No. (if any) :

## ISSUE OF UNITS OF TATA DYNAMIC BOND FUND AT NAV BASED PRICE WITH APPLICABLE LOADS, IF ANY.

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) whichever is applicable. Strike out which is not required

BROKER/AGENT CODE	SUB-BROKER CODE
ARN-0032	

### CHOOSE THE OPTION FOR MAKING INVESTMENTS

<input type="checkbox"/> <b>Option A</b> <input type="checkbox"/> Income (Dividend) / Bonus <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	<input type="checkbox"/> Growth	<input type="checkbox"/> <b>Option B</b> <input type="checkbox"/> Income (Dividend) / Bonus <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	<input type="checkbox"/> Growth	<b>Default Option: Option A / Income / Reinvestment</b> (please refer Instruction C)
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### PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)

	PAN # (Refer Instruction - D)	KYC Compliant Status (If yes attach proof) KYC Mandatory for investment of Rs. 50,000 and above. (Refer Instruction - E)
First Applicant / Guardian*		<input type="checkbox"/> Yes <input type="checkbox"/> No
Second Applicant		<input type="checkbox"/> Yes <input type="checkbox"/> No
First Applicant / Guardian*		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*If the First Applicant is a Minor, then please state the details of Parent / Guardian. # Please attach PAN proof.

### UNITHOLDER INFORMATION

<b>Name of First Applicant</b>	Mr Ms M/s	Please mention your name as it appears in your Bank Account										Date of Birth		D	D	M	M	Y	Y	Y	Y
<b>Name of Guardian</b> (in case first Applicant is Minor)	Mr Ms M/s																				
Contact Person (in case of company)																					
Mailing Address (PO Box Address is not sufficient) (Indian Address in case of NRIs/FIIs)													Pin Code								
<b>Email ID</b>	City	State				Country															

I/ We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy  Yes  No

Contact Particulars	Telephone : Office	-										Fax	-									
	Residence	-										Mobile										
Status (First Sole Applicant) Please (✓)	<input type="radio"/> Resident Individual	<input type="radio"/> NRI/NRO	<input type="radio"/> NRI/NRE	<input type="radio"/> AOP	<input type="radio"/> FIL	<input type="radio"/> Body Corporate	<input type="radio"/> Trust	<input type="radio"/> Partner	<input type="radio"/> Public Ltd. Co.	<input type="radio"/> On behalf of Minor	<input type="radio"/> HUF	<input type="radio"/> FOF	<input type="radio"/> Proprietorship	<input type="radio"/> BOI	<input type="radio"/> Pvt. Ltd. Co.	<input type="radio"/> Societies	<input type="radio"/> Banks	<input type="radio"/> Others				
Overseas Address (Mandatory for NRI/FII applications in addition to)											Pin Code											
	City					Country																
Occupation	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other																					
Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor																					
<b>Name of Second Applicant</b>											Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI		Date of Birth		D	D	M	M	Y	Y	Y	Y
<b>Name of Third Applicant</b>											Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI		Date of Birth		D	D	M	M	Y	Y	Y	Y
<b>Name of Power of Attorney holder for investment on behalf of Applicant.</b>																						
Name	Mr Ms M/s																					

PAN No. (Refer Instruction D)

\* Kindly enclose copy of the proof of PAN. In case of joint holding, PAN of all the joint holders should be mentioned in the application form.

### ACKNOWLEDGEMENT SLIP TATA DYNAMIC BOND FUND



Fort House,  
221, D.N. Road, Fort,  
Mumbai - 400 001

Sr. No. :

Received from Mr. / Ms ..... an application for purchase of units of Tata Dynamic Bond Fund (Gross investment amount) Rs. .... (Rupees in words.....) ..... ) Cheque / Demand Draft No. .... dated..... drawn on ..... Bank..... Branch for Rs. ....(Cheques/Drafts are subject to realisation).	<b>Acknowledgement Stamp</b>
All communication should be addressed to <b>Computer Age Management Services Pvt Ltd., CAMS Unit: Tata Mutual Fund 178/10, Kodambakkam High Road, Nungambakkam, Chennai - 600 034</b> by quoting First applicant name, application Sr. No., Name of the scheme code. <b>Business Hours of Registrar: upto 3.00 p.m. on all business days.</b> <b>Current Load structure is enclosed</b>	





**OVERSEAS ADDRESS**

(Mandatory for NRI/FII applications in addition to mailing address) (PO Box Address is not sufficient)

Overseas Address in case of NRI/FIIs												
City						State				Pin		
Country						Tel. (Office)						
Tel. (Res.)						Fax						

**DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS**

Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with **ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Centurion Bank of Punjab/ABN Amro Bank/Oriental Bank of Commerce/ Centurion Bank of Punjab/State Bank of India (Core banking centers only).**

I/We understand that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.

If however you wish to receive Cheque payment, please tick here

**DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)**

- 1)  Memorandum & Articles of Association (Corporate) 2)  Board Resolution (Corporate) 3)  Authorised signatories list. 4)  Trust Deed (in case of a trust)  
5)  Partnership Deed (for partnership firm) 6)  Copy of PAN card of all unitholders. 7)  KYC Confirmation. 8)  Power of Attorney.

**DECLARATION AND SIGNATURES****The Trustee, Tata Mutual Fund**

a. Having read and understood the contents of the Offer Document of the Scheme, I/ We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/ We have understood the details of the scheme and I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertaking such other action with such funds that may be required by the Law.

b. **For NRIs:** I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin and that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary.

I/ We confirm that details provided by me / us are true and correct.

c. I/We have read and understood the SEBI Circular no. MRD/DoP/Cir- 05/2007 dt. April 27, 2007 and SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card / have applied for PAN.

Date: \_\_\_\_\_

Signature(s) / Thumb Impression(s) Refer Instruction - 'C'	1st / Sole holder	2nd holder	3rd holder
	<input type="text"/>	<input type="text"/>	<input type="text"/>

**INSTRUCTIONS**

Please read the terms of Offering Circular before filling the application. It must be understood clearly that all Applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.

**(A) MINIMUM APPLICATION AMOUNT SHOULD BE:** Option A: Rs. 5000/- & in multiples of Re. 1/- thereafter. For additional investment Rs. 1000/- & in multiples of Re. 1/- thereafter.

Option B: Rs. 1,00,000/- & in multiples of Re. 1/- thereafter. For additional investment Rs. 10,000/- & in multiples of Re. 1/- thereafter.

**(B) PAYMENT PROCEDURE**

1. Payment may be made by MICR cheque/DD drawn on/made payable at all those places where the **Investor Service Centres** are located. **THE CHEQUE/DD MUST BE DRAWN IN FAVOUR OF TATA DYNAMIC BOND FUND AND CROSSED "ACCOUNT PAYEE & NOT NEGOTIABLE"**.

In the case of transfer instructions for the following Banks the same should be made favouring as follows:

Bank Name	In Favour of
Standard Chartered Bank	Tata Dynamic Bond Fund - COLLECTION A/c.
HDFC Bank Ltd.	Tata Dynamic Bond Fund
ICICI Bank Ltd.	Tata Dynamic Bond Fund

2. In case of subscription by NRIs/FIIs, in case the payment is made through Indian Rupee draft purchased abroad or from FCNR or NRE A/c, an Account Debit Certificate from the bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE/FCNR account cheques, the application forms must be accompanied with a photocopy of the cheque or Account Debit letter/Certificate from the banker.

**Applicants who cannot Invest:** ● Any individual who is a Foreign national or any other entity that is not an Indian resident under the Foreign Exchange Management Act, 1999, except where registered with SEBI as a FII or FII sub account. ● Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the scheme. These would be firms & societies which are held directly or indirectly but ultimately to the extent of at least 60% by NRI's & trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs). ● Non-Resident Indians residing in the United States of America & Canada.

3. Subscription by Multilateral Funding Agencies, on full repatriation basis, is subject to approval by the Foreign Investment Promotion Board.
4. Application forms duly completed in all respects must be lodged at the identified Investor Service Centres (ISC's).
5. Application form (duly completed), alongwith a cheque (drawn on nearest ISC's/transaction acceptance centres)/DD (payable at the nearest ISC's / transaction acceptance centres) may be sent by Mail directly to the nearest ISC/transaction acceptance centres or DD's payable at Chennai to be sent to the Registrar viz. Computer Age Management Services (CAMS) Pvt. Ltd., Unit: "Tata Mutual Fund-Application Form" CAMS Pvt. Ltd. New no. 10, Old no. 178, MGR Salai, Nungambakkam, Chennai - 600 034.

If there is no Authorised Investor Service Centres where the investor resides, he/she may purchase a Demand Draft from any other Bank



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### SYSTEMATIC INVESTMENT PLAN (SIP)

To  
The Trustee,  
TATA MUTUAL FUND  
Mumbai

Date : \_\_\_\_\_

Having read and understood the contents of the Offering Circular and the instructions and information contained therein, I/We hereby apply for the Systematic Investment Plan (SIP) and agree to abide by the terms and conditions mentioned in the plan.

Folio No. \_\_\_\_\_

Broker Code : **ARN-0032** Sub Broker Code : \_\_\_\_\_

Name : \_\_\_\_\_

Email : \_\_\_\_\_

Scheme \_\_\_\_\_

Option \_\_\_\_\_

Rs \_\_\_\_\_ (in words \_\_\_\_\_ )

Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		Dates: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 20th	
Cheque No(s).	Dated	Amount (Rs.)	Amount (Rs.)
		in figures	in words
1.	/ /		
2.	/ /		
3.	/ /		
4.	/ /		
5.	/ /		
6.	/ /		
7.	/ /		
8.	/ /		
9.	/ /		
10.	/ /		
11.	/ /		
12.	/ /		

Drawn on Bank : \_\_\_\_\_

Branch : \_\_\_\_\_

\_\_\_\_\_

**Signatures**                      **Sole/First Applicant**                      **Second Applicant**                      **Third Applicant**

*\* In case of new application/s, kindly attach duly filled in application form.*

SIP Load Structure as on date of 1st SIP Instalment (In case of Direct Debit) Cheque date would be applicable.

N.B. Investment under Systematic Investment Plan can be made at Authorised Investor Service Centres only.

#### PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)

	PAN # (Refer Instruction - E)	KYC Compliant Status** (If yes attach proof) KYC Mandatory for investment of Rs. 50,000 and above. (Refer Instruction - F)	
First Applicant / Guardian*		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Second Applicant		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Third Applicant		<input type="checkbox"/> Yes	<input type="checkbox"/> No

\*If the First Applicant is a Minor, then please state the details of Guardian. # Please attach PAN proof. **If PAN already validated, please don't attach any proof** \*\*Refer Instruction - E



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TO BE USED FOR ALL SCHEMES OTHER THAN TATA SERVICE INDUSTRIES FUND AND TATA CONTRA FUND

# SIP AUTO DEBIT FACILITY

New Investors are also requested to fill-in the scheme application form

## REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing / Auto Debit)

First SIP cheque and subsequent via Auto Debit in select cities only.

Sr.No.

New Registration with TMF

Change in Bank Account for existing Registration with TMF

Broker Code  Sub Broker Code  Please attach copy of cheque / cancelled cheque

### PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)

	PAN # (Refer Instruction - D)	KYC Compliant Status** (If yes attach proof) KYC Mandatory for investment of Rs. 50,000 and above. (Refer Instruction - E)	
First Applicant / Guardian*	<input type="text"/>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Second Applicant	<input type="text"/>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Third Applicant	<input type="text"/>	<input type="checkbox"/> Yes	<input type="checkbox"/> No

\*If the First Applicant is a Minor, then please state the details of Parent / Guardian. # Please attach PAN proof. \*\*Refer Instruction - E

### INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name	<input type="text"/>
Existing Folio No. (if any)	<input type="text"/> *Existing Investors mention Folio No. New applicants mention the Application Form No.
Scheme	<input type="text"/>
Plan	<input type="text"/>
Option and Sub Option	<input type="text"/>

### SIP AND BANK DETAILS

Each SIP Amount (Rs.)  Frequency Monthly (Default)  Quarterly

Amount in words \_\_\_\_\_

Status:  RI  NRI

### First SIP Cheque Details :

Cheque No.:  Cheque Amount in Rs.  Cheque Date : \_\_\_/\_\_\_/\_\_\_

SIP Auto Debit Dates : 1st  7th  10th  20th  of the month / quarter.

SIP Period : Start From  End On

SIP date should be either 1st / 7th / 10th / 20th (Note : Cheque should be drawn on bank details provided below)

(Note : Please allow minimum one month for auto debit to register and start.)

I hereby, authorise Tata Mutual Fund (TMF) and their authorised service providers, to debit my following bank account by ECS (Debit Clearing) / auto debit to account for collection of SIP payments.

### PARTICULARS OF BANK ACCOUNT

Account holder Name as in Bank Account	<input type="text"/>
Bank Name	<input type="text"/>
Branch Name	<input type="text"/> City <input type="text"/>
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Cash <input type="checkbox"/> Credit <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE
Account Number (in figures)	<input type="text"/>
In Words	<input type="text"/>
9 Digit MICR Code (Mandatory)	<input type="text"/>
In Words	<input type="text"/>

I/We hereby declare that the particulars given above are correct & express my willingness to make payments referred above through participation in ECS / Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Tata Asset management Ltd., about any changes in my bank account. I/We have read & agreed to the terms & conditions mentioned overleaf. To - The Trustee, Tata Mutual Fund, Mumbai. Having read & understood the contents of Offering Circular of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price & agree to abide by terms, conditions, rules & regulations of scheme/s.

First A/c Holder's Signature (As in Bank Records)	2nd A/c Holder's Signature (As in Bank Records)	3rd A/c Holder's Signature (As in Bank Records)
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### FOR OFFICE USE ONLY (NOT TO BE FILLED IN BY INVESTOR)

Recorded on <input type="text"/>	Scheme Code <input type="text"/>
Recorded by <input type="text"/>	Credit A/c Number <input type="text"/>
Bank use Mandate Ref. No. <input type="text"/>	Customer Ref. No. <input type="text"/>

### AUTHORISATION OF THE BANK ACCOUNT HOLDER (TO BE SIGNED BY THE ACCOUNT HOLDER)

This is to inform I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Auto Debit Facility and that my payment towards my investment in Tata Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS/Auto Debit to account mandate Form to get it verified & executed.

Bank Account Number	<input type="text"/>
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First Account Holder's Signature (As in Bank Records)	<input type="text"/>
Second Account Holder's Signature (As in Bank Records)	<input type="text"/>
Third Account Holder's Signature (As in Bank Records)	<input type="text"/>

(To be signed by all holders if mode of operation is joint)