



Expertise that's trusted

## COMMON APPLICATION FORM FOR DEBT SCHEMES

**For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.**  
Please refer complete details on all page(s) and scheme description/details while applying.

Sr. No. :

### ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD IF ANY FOR ONGOING SALE

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) whichever is applicable. Strike out which is not required

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio No. : \_\_\_\_\_

☐ SIP Form attached.

#### MANDATORY [Please tick (✓)] (Refer Instruction - E & F)

APPLICANT DETAILS	PAN * please attach proof	Know Your Client (KYC)	STATUS
FIRST APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI/NRO <input type="checkbox"/> NRI/NRE <input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____
SECOND APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	
THIRD APPLICANT	<input type="text"/>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	
GUARDIAN/POA HOLDER	<input type="text"/>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	

#### UNITHOLDER INFORMATION

Name of First Applicant	Mr Ms M/s	Please mention your name as it appears in your Bank Account		Date of Birth	D D M M Y Y Y Y
Name of Guardian (in case first Applicant is Minor) contact person-designation (non-individual)/PoA Holder (investments made of constitutional attorney).	Mr Ms M/s				
Mailing Address (PO Box Address is not sufficient) (Indian Address in case of NRIs/FIIs)				Pin Code	
	City	State	Country		

Email (mandatory for Daily STP): \_\_\_\_\_

I/ We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy ☐ Yes ☐ No

Contact Particulars	Telephone : Office		Fax	
	Residence		Mobile	
Overseas Address (Mandatory for NRI/FII applications in addition to)			Pin Code	
	City		Country	
Occupation	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other _____			
Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor			
Name of Second Applicant	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI			
	Date of Birth D D M M Y Y Y Y			
Name of Third Applicant	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI			
	Date of Birth D D M M Y Y Y Y			

Name of Power of Attorney holder for investment on behalf of Applicant.

Name	Mr. Ms. M/s.

#### NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) - please refer instruction K.

Name and Address of the Nominant(s)	Nominee's Relationship with 1st unitholder	Proportion (%) by which the units shared by will be shared by each nominee (% to aggregate to 100%)	Date of Birth	Name & Address of Guardian	Signature of Guardian
Nominee 1				(to be furnished in case the nominee is minor)	
Nominee 2					
Nominee 3					

**PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT**

<input type="checkbox"/> <b>TATA LIQUID FUND (LF)</b> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 25%;"> <input type="checkbox"/> RIP  <input type="checkbox"/> HIP  <input type="checkbox"/> SHIP  <input type="checkbox"/> SHIP PLUS </div> <div style="width: 25%;"> <input type="radio"/> Growth  <input type="radio"/> Growth  <input type="radio"/> Growth  <input type="radio"/> Growth </div> <div style="width: 25%;"> <input type="radio"/> Fortnightly Dividend  <input type="radio"/> Monthly Dividend  <input type="radio"/> Monthly Dividend  <input type="radio"/> Monthly Dividend </div> <div style="width: 25%;"> <input type="radio"/> Daily Dividend  <input type="radio"/> Weekly Dividend  <input type="radio"/> Weekly Dividend  <input type="radio"/> Weekly Dividend  <input type="radio"/> Dividend Payout  <input type="radio"/> Dividend Reinvestment </div> </div> <p>Under each Plan in case of Dividend Option (Please tick)  There will be compulsory reinvestment of dividend under Daily Dividend Option</p>	<b>Default Option: Growth / Dividend Reinvestment (please refer Instruction J)</b>
<b>RIP</b> - Regular Investment Plan <b>HIP</b> - High Investment Plan <b>SHIP</b> - Super High Investment Plan <b>SHIP Plus</b> - Super High Institutional Plus Plan	
<input type="checkbox"/> <b>TATA SHORT TERM BOND FUND (ST)</b> <input type="checkbox"/> Dividend <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA INCOME PLUS FUND (IP)</b> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 25%;"> <input type="checkbox"/> Option A  <input type="checkbox"/> Option B  <input type="checkbox"/> Option C </div> <div style="width: 25%;"> <input type="radio"/> Growth  <input type="radio"/> Growth  <input type="radio"/> Growth </div> <div style="width: 25%;"> <input type="radio"/> Dividend/ Bonus Payout  <input type="radio"/> Dividend/ Bonus Payout  <input type="radio"/> Dividend/ Bonus Payout </div> <div style="width: 25%;"> <input type="radio"/> Dividend Reinvestment  <input type="radio"/> Dividend Reinvestment  <input type="radio"/> Dividend Reinvestment </div> </div>	<b>Default Option: Option A / Growth / Dividend Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA GILT SECURITIES FUND (GF)</b> <input type="checkbox"/> Normal <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> High Investment Plan <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>Tata Gilt Short Maturity Plan</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	
<input type="checkbox"/> <b>TATA INCOME FUND (IF)</b> <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Half Yearly Dividend <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Growth <input type="checkbox"/> Bonus <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Quarterly / Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA FLOATING RATE FUND</b> <input type="checkbox"/> Short Term <input type="checkbox"/> Long Term <input type="checkbox"/> Short Term Institutional Plan <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Daily Dividend <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment    (Compulsory Reinvestment)	<b>Default Option: Short Term / Growth / Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA FLOATER FUND (TFF)</b> <div style="display: flex; justify-content: space-around;"> <div> <input type="checkbox"/> Growth    <input type="checkbox"/> Dividend </div> <div> <input type="checkbox"/> Daily - Dividend Reinvestment  <input type="checkbox"/> Weekly    <input type="checkbox"/> Payout    <input type="checkbox"/> Reinvestment </div> </div> <p style="text-align: center;"> Default Option : Growth    Default Option : Weekly    Default Option : Reinvestment </p> <p>There will be compulsory reinvestment of dividend under Daily Dividend Option</p>	<b>Default Option: Growth / Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA LIQUIDITY MANAGEMENT FUND (TLMF)</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend <div style="display: flex; justify-content: space-around;"> <div> <input type="checkbox"/> Daily - Dividend Reinvestment  <input type="checkbox"/> Weekly    Dividend Reinvestment </div> </div> <p style="text-align: center;"> Default Option : Growth    Default Option : Weekly </p>	Dividend will be compulsorily reinvested
<input type="checkbox"/> <b>TATA TREASURY MANAGER FUND (TTF)</b> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 25%;"> <input type="checkbox"/> RIP  <input type="checkbox"/> HIP  <input type="checkbox"/> SHIP </div> <div style="width: 25%;"> <input type="radio"/> Growth  <input type="radio"/> Growth  <input type="radio"/> Growth </div> <div style="width: 25%;"> <input type="radio"/> Monthly Dividend  <input type="radio"/> Daily Dividend  <input type="radio"/> Daily Dividend </div> <div style="width: 25%;"> <input type="radio"/> Weekly Dividend  <input type="radio"/> Weekly Dividend  <input type="radio"/> Dividend Payout  <input type="radio"/> Dividend Reinvestment </div> </div> <p>Under each Plan in case of Dividend Option (Please tick)  There will be compulsory reinvestment of dividend under Daily Dividend Option</p>	<b>Default Option: RIP / Growth / Dividend Reinvestment (please refer Instruction J)</b>
<input type="checkbox"/> <b>TATA GILT MID TERM FUND (TGMTF)</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	<b>Default Option: Growth / Periodic dividend/ Dividend Reinvestment (please refer Instruction J)</b>

**Please enclose separate Cheques/Demand Draft for each scheme.**

<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px; border: 1px solid black;" type="text"/>
Net Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	
<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px; border: 1px solid black;" type="text"/>
Net Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	
<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px; border: 1px solid black;" type="text"/>
Net Amount in Rs. <input style="width:100px; border: 1px solid black;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	

**OVERSEAS ADDRESS**

(Mandatory for NRI/FII applications in addition to mailing address) (PO Box Address is not sufficient)

Overseas Address in case of NRI/FII									
City		State				Pin			
Country		Tel. (Office)							
Tel. (Res.)		Fax							

**FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) (Refer Instruction - G)**

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank										
Branch										
Account No. (in Fig.)										
Bank Address										
City					State					
PIN					MICR Code					
* IFSC Code for NEFT/RTGS					* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)					

**DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS (Refer Instruction - H)**

Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with **ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only).**

I/We understand that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.

If however you wish to receive Cheque payment, please tick here ☐

**DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)**

- 1) ☐ Memorandum & Articles of Association (Corporate) 2) ☐ Board Resolution (Corporate) 3) ☐ Authorised signatories list. 4) ☐ Trust Deed (in case of a trust)  
5) ☐ Partnership Deed (for partnership firm) 6) ☐ Copy of PAN card of all unitholders. 7) ☐ KYC Confirmation. 8) ☐ Power of Attorney.

**DECLARATION AND SIGNATURES Refer Instruction - C****The Trustee, Tata Mutual Fund**

a) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) **For NRIs:** I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) **For Micro SIP:** I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding Rs.50,000/- in a year.

Date: \_\_\_\_\_

Signature(s) / Thumb Impression(s) Refer Instruction - 'C'	1st / Sole holder	2nd holder	3rd holder

**Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)**

Sr. No. :

Received application from Mr./Mrs./Master/M/s \_\_\_\_\_

Address : _____						Signature of Authorised Staff and Office Seal/Stamp along with Date and Time of Receipt
PIN _____						
Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)

Subject to realisation of cheque / Demand Draft and verification of mandatory information / document.

## INSTRUCTIONS

3. Agents are not permitted to accept CASH with Application Form. Tata Mutual Fund shall not be held responsible for any kind of wrong tenders.
4. Brokerage will be paid to only Distributors empanelled with Tata Mutual Fund & registered with AMFI
5. Distributors/Agents should mention the ARN No. allotted by AMFI, on the application form.

### (J) Default Options:

**Tata Liquid Fund:** In case the Investment Plan (RIP, HIP, SHIP, SHIP Plus) is not mentioned investment will be taken as per the amount of investment and subject to minimum amount required in each plan. Under each Plan, in case the Investment Option (Dividend/Growth/Appreciation) is not mentioned investment will be taken in Growth/Appreciation.

In case the Payout mode (Payout/Reinvestment) is not mentioned investment will be taken in Reinvestment

**Tata Short Term Bond Fund:** In case the Investment Option (Dividend / Growth) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

**Tata Income Plus Fund:** In case the Investment Option (Option A, Option B, Option C) is not mentioned investment will be taken in Option A.

Under each Option, in case the Investment Plan (Dividend / Growth) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

**Tata Gilt Securities Fund:** In case the Investment Plan (Normal / High Investment) is not mentioned investment will be taken in Normal.

Under each Plan, in case the Investment Option (Dividend / Growth) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

**Tata Income Fund:** In case the Investment Option (Dividend / Growth / Bonus) is not mentioned investment will be taken in Growth.

Under Dividend Option, in case the Investment Plan (Quarterly / Half Yearly) is not mentioned investment will be taken in Quarterly.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

**Tata Floating Rate Fund:** In case the Investment Option (Short Term / Long Term) is not mentioned investment will be taken in Short Term.

Under each Option, in case the Investment Plan (Dividend / Growth) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

**Tata Floater Fund:** In case the Investment Option (Dividend / Growth) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

If Dividend amount is less than ₹. 500/- the dividend amount will be compulsorily reinvested in the scheme on the next business day immediately following ex-dividend date.

**Tata Liquidity Management Fund:** In case the Investment Option (Growth / Daily Dividend / Weekly Dividend) is not mentioned investment will be taken in Growth.

The dividend amount will be compulsorily reinvested in the scheme on the next business day immediately following ex-dividend date. In case of reinvestment units will be allotted at applicable NAV in lieu of dividend.

**Tata Treasury Manager Fund:** In case the Investment Plan (RIP, HIP, SHIP) is not mentioned investment will be taken in RIP.

Under each Plan, in case the Investment Option (Daily / Weekly / Monthly Dividend) is not mentioned investment will be taken in Growth.

In case the Payout mode (Payout / Reinvestment) is not mentioned investment will be taken in Reinvestment.

If dividend amount is less than ₹. 250/- the dividend amount will be compulsorily reinvested in the scheme on the next business day immediately following ex-dividend date. In case of reinvestment units will be allotted at applicable NAV in lieu of dividend.

**Tata Gilt Mid Term Fund:** Investor should appropriately tick the 'option' (dividend or growth) in the application form while investing in the schemes. If option is not indicated by the investor, then by default it will be treated as 'growth option'. If investor fails to indicate sub option under dividend option (i.e. Quarterly or Periodic), the units will be allotted under Periodic dividend. Further, if investor chooses 'dividend option' then they should also indicate the sub-option (dividend payout or dividend re-investment) under the 'dividend option' otherwise it will, by default, be treated as 'dividend re-investment' option.

### (K) Nomination Details

(1) The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. (2) Minor(s) can be nominated & in that event, the name, address & signature of the guardian of the minor nominee(s) shall be provided by the unit holder. (3) The Nominee shall not be a trust, society, body corporate, partnerships firm, member of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the policy of exchange control for the time being in force. (4) Nomination in respect of the units stands rescinded upon the transfer of units. (5) Transfer of units in favour of Nominee(s) shall be valid discharge by the Asset Management Company (AMC) against the legal heirs, executors, administrators, etc. (6) The cancellation of nomination can be made only by those individuals who hold units in their own name, either solely or jointly and who have originally made the nomination. (7) On cancellation of the nomination, the nomination shall stand rescinded & the Asset Management Company (AMC) shall not be under any obligation to transfer the units if favour of the Nominee(s). (8) Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals making a total of 100% (in the event of the unitholders not indicating the percentage of allocation/share for each of the nominees, the AMC, by invoking default option shall settle the claims equally amongst all the nominees).

## CHECKLIST

**Tata Mutual Fund: Toll Free No. 1800-209-0101** (Open on all days). **Email:** kiran@tataamc.com, **Website:** www.tatamutualfund.com.  
**Checklist:** Documents as listed below are submitted along with this application .

Document List	Document List	Document List
1. PAN <input type="checkbox"/>	5. Memorandum & Articles of Association <input type="checkbox"/>	9. Overseas Auditor's Certificate <input type="checkbox"/>
2. KYC <input type="checkbox"/>	6. Trust Deed <input type="checkbox"/>	10. Notarised Power of Attorney <input type="checkbox"/>
3. Resolution / Authorisation to invest <input type="checkbox"/>	7. Bye-Laws <input type="checkbox"/>	11. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>
4. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	8. Partnership Deed <input type="checkbox"/>	12. MICROSIP document <input type="checkbox"/>
		13. Others _____

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.

## SIP AUTO DEBIT FACILITY

Sr. No.:

New Investors are also requested to fill-in the scheme application form

### REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing / Standing Instruction / Direct Debit Facility in select banks only)

**First SIP cheque** and subsequent via **Auto Debit** in select cities only. (Please attach copy of cheque / cancelled cheque)

☐ New Registration with TMF

☐ Change in Bank Account for existing Registration with TMF

☐ MICRO SIP

Broker /  
Agent Code

Sub-Broker /  
Bank Branch Code

M.O. Code

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

### INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name

Folio/Application No.

 Existing Investors please mention Folio Number. New applicants please mention the application form number.

Scheme

Plan

Option and Sub Option

### SIP AND BANK DETAILS

Each SIP Amount (₹)

Frequency Monthly (Default) ☐

Quarterly ☐

Status: ☐ RI

☐ NRI

Amount in words

**First SIP Cheque Details:**

Cheque No.:

Cheque Amount in ₹

Cheque Date : \_\_\_/\_\_\_/\_\_\_

SIP Auto Debit Dates:

☐ 1st

☐ 7th

☐ 10th

☐ 15th

☐ 20th

☐ 25th

☐ 28th

**SIP Period: Start From**

**End On**

**SIP date should be either 1st / 7th / 10th / 15th / 20th / 25th / 28th** (Note: Cheque should be drawn on bank details provided below)

(Note: Please allow minimum one month for auto debit to register & start). I hereby, authorise Tata Mutual Fund (TMF) and their authorised service providers, to debit my following bank account by ECS (Debit Clearing) / direct debit / standing instruction to account for collection of SIP payments.

### PARTICULARS OF BANK ACCOUNT

**Account holder Name as in Bank Account**

Bank Name

Branch Name

City

Account Type

☐ Savings

☐ Current

☐ Cash

☐ Credit

☐ NRO

☐ NRNR

☐ NRE

9 Digit MICR Code (Mandatory for ECS)

Core banking A/c no.

(in figures)

### DECLARATION

I/We hereby declare that the particulars given above are correct & complete & express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instruction. I/We will also inform TAML, about any changes in my bank account. I/We have read & agreed to the terms & conditions mentioned overleaf.

This is to inform I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instruction Facility & that my payment towards my investment in Tata Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorize the representative carrying this ECS/Direct Debit/Standing Instruction mandate Form to get it verified & executed.

To - Branch Manager, \_\_\_\_\_ Bank, I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund or the above mentioned Bank responsible. If the date of debit to my/our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday & allotment of units will happen as per the Terms & Conditions listed in the KIM/SID/SAI of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of above mentioned Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control & which has the effect of preventing the performance of the contract by Bank. I/We have noted the contents of the Direct Debit Facility Agreement/Standing Instruction entered by Tata Mutual Fund with the Bank & I/we are also bound by the terms thereof.

I/We acknowledge that no separate intimation will be received from the Bank in case of non-execution of the instructions for any reasons whatsoever.

To - The Trustee, Tata Mutual Fund, Mumbai. Having read & understood the contents of SAI/SID/KIM of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price & agree to abide by terms, conditions, rules & regulations of scheme/s. For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.

#### SIGNATURE/S AS PER BANK ACCOUNT (MANDATORY)

Sole /1st Account Holder's Signature (as in bank records)	
2nd Account Holder's Signature (as in bank records)	
3rd Account Holder's Signature (as in bank records)	

#### SIGNATURE/S AS PER TATA MUTUAL FUND RECORDS (MANDATORY)

Sole /1st Account Holder's Signature	
2nd Account Holder's Signature	
3rd Account Holder's Signature	

(To be signed as per the mode of operations, i.e. all holders to sign if the mode of operations is Joint)

### FOR OFFICE USE ONLY (NOT TO BE FILLED IN BY INVESTOR)

Recorded on

Recorded by

Scheme Code

Credit A/c Number

Bank use Mandate Ref. No.

Customer Ref. No.

## SYSTEMATIC INVESTMENT PLAN (SIP)

To  
The Trustee,  
TATA MUTUAL FUND  
Mumbai

Date : \_\_\_\_\_

Having read and understood the contents of the Scheme Information Document and the instructions and information contained therein, I/We hereby apply for the Systematic Investment Plan (SIP) and agree to abide by the terms and conditions mentioned in the plan.

Folio No.	Application No.: (for new investors)		
Broker / Agent Code	Sub-Broker / Bank Branch Code	M.O Code :	
Name of First / Sole Applicant : _____			
Name of Second Applicant : _____			
Name of Third Applicant : _____			
Email : _____			

Scheme
Option
₹ _____ (in words _____)

Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		Dates: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th <input type="checkbox"/> 28th	
Cheque No(s).	Dated	Amount (₹.)	
		in figures	in words
1.	/ /		
2.	/ /		
3.	/ /		
4.	/ /		
5.	/ /		
6.	/ /		
7.	/ /		
8.	/ /		
9.	/ /		
10.	/ /		
11.	/ /		
12.	/ /		

Drawn on Bank :
Branch :

<b>SIGNATURES</b> _____ <b>Sole/First Applicant</b>	_____ <b>Second Applicant</b>	_____ <b>Third Applicant</b>
<i>* In case of new application/s, kindly attach duly filled in application form.</i>		

SIP Load Structure as on date of 1st SIP Instalment date (In case of Direct Debit) / Cheque would be applicable.  
N.B. Investment under Systematic Investment Plan can be made at Authorised Investor Service Centres only.

PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)			
APPLICANT DETAILS	PAN * please attach proof		Know Your Client (KYC)
FIRST APPLICANT	<div style="border: 1px solid black; width: 100px; height: 20px;"></div>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
SECOND APPLICANT	<div style="border: 1px solid black; width: 100px; height: 20px;"></div>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
THIRD APPLICANT	<div style="border: 1px solid black; width: 100px; height: 20px;"></div>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied
GUARDIAN/POA HOLDER	<div style="border: 1px solid black; width: 100px; height: 20px;"></div>	<input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied

**SYSTEMATIC WITHDRAWAL PLAN (SWP)**

To,  
The Trustee, Tata Mutual Fund, Mumbai  
Having read & understood the contents of the SAI / SID / KIM & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the SWP Enrolment for the following Schemes & agree to abide by the terms & conditions of the Plan.

Folio No.:

Broker / Agent Code **ARN-0032** Sub-Broker / Bank Branch Code M.O Code :

Name : \_\_\_\_\_  
Email : \_\_\_\_\_

Scheme \_\_\_\_\_ Option \_\_\_\_\_  
☐ Fixed Amount Rs \_\_\_\_\_ (in words \_\_\_\_\_) ☐ Capital Appreciation

**Period of Enrolment (MM/YY)**  
 From : \_\_\_\_ / \_\_\_\_ / \_\_\_\_ To : \_\_\_\_ / \_\_\_\_ / \_\_\_\_ **Frequency** ☐ Monthly ☐ Quarterly  
**Date:** \_\_\_\_ **1st / 7th / 10th / 20th / 28th of the month** (in case of holiday, next business day)  
 (in case the date is not mentioned, the 1st day of the month will be taken as the default date)  
**First payout Date:** \_\_\_\_ **(that is the first payment date)**  
 (in case the first payout date is not mentioned, the 1st day of the following month will be taken as the default date)  
**Last Payout Date:** \_\_\_\_ **(that is the last payment date)**  
 (in case the last payout date is not mentioned, the payout will continue until the balance units are reduced to zero.)

**SIGNATURES** \_\_\_\_\_  
**Sole/First Applicant** **Second Applicant** **Third Applicant**

*Loads as applicable from time to time.*  
**INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.**  
 N.B SWP should reach ISCs before 7 working days from the start of first SWP date.

**SYSTEMATIC TRANSFER PLAN (STP)**

To,  
The Trustee, Tata Mutual Fund, Mumbai  
Having read & understood the contents of the SAI / SID / KIM of the relevant schemes & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the STP Enrolment under the following Schemes & agree to abide by the terms & conditions of the Plan. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

Broker Code : \_\_\_\_\_ Sub Broker Code : \_\_\_\_\_ M.O Code : \_\_\_\_\_

Folio No. \_\_\_\_\_ Name: \_\_\_\_\_

Transfer from (Scheme): \_\_\_\_\_ Option: \_\_\_\_\_  
 Transfer to (Scheme): \_\_\_\_\_ Option: \_\_\_\_\_

No of Units: \_\_\_\_\_ or Amount (Rs.): \_\_\_\_\_ Amount (in words): \_\_\_\_\_

or Dividend ☐ or Capital Appreciation ☐

STP period from: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ To : \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
 (dd) (mm) (yy) (dd) (mm) (yy)

**Transfer Frequency:**

<input type="checkbox"/> Daily Only from Monday to Friday*	<input type="checkbox"/> Weekly (Only on Fridays)	<input type="checkbox"/> Monthly <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 28th Days of the month	<input type="checkbox"/> Quarterly
<b>Select any one</b>			
<b>In case the day of STP is a non business day the request will be considered for the next business day.</b>			

Email (mandatory for Daily STP): \_\_\_\_\_  
 I/We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy ☐ Yes ☐ No (please refer notes overleaf)

**SIGNATURES****Sole/First Applicant****Second Applicant****Third Applicant***Loads as applicable from time to time.***INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.****Note:** STP should reach AMC offices / Registrar offices before 15 workings days from the start of first STP date.

\* In case any day is a non business day for any one of the schemes (either STP from or STP to scheme) the STP will be processed as per the matrix provided in the instructions no. '9'.





Expertise that's trusted

(Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

**FOR OFFICE USE ONLY**

Date of Receipt	Folio No.	Branch Trans. No.

## I. BENEFICIAL INVESTOR INFORMATION (Refer Instruction No. 2)

[illegible]

## NAME OF FIRST/SOLE APPLICANT (BENEFICIAL INVESTOR)

Mr.	Ms.	M/s.
-----	-----	------

## 2. THIRD PARTY INFORMATION (Refer Instruction No. 3 )

## NAME OF THIRD PARTY (PERSON MAKING THE PAYMENT)

[illegible]

Nationality							PAN#				KYC**	Please tick(✓) Attached (Mandatory for any amount)
-------------	--	--	--	--	--	--	------	--	--	--	-------	--

#Mandatory for any amount. Please attach PAN Proof. Refer instruction No. 6. \*\* Refer instruction No. 8.

## NAME OF CONTACT PERSON &amp; DESIGNATION (in case of non-Individual Third Party)

[illegible][illegible]

## MAILING ADDRESS (P.O. Box Address may not be sufficient)

CITY STATE PIN CODE

## CONTACT DETAILS

[illegible][illegible]

**RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR (Refer Instruction No. 3) [Please tick (✓) as applicable]**

Status of the Beneficial Investor	<input type="checkbox"/> Minor	<input type="checkbox"/> FII <input type="checkbox"/> Client	<input type="checkbox"/> Employee (s)
Relationship of Third Party with the Beneficial Investor	<input type="checkbox"/> Parent <input type="checkbox"/> Grand Parent <input type="checkbox"/> Related Person	Custodian SEBI Registration No. of Custodian <hr/> Registration Valid Till <span style="border: 1px solid black; padding: 0 2px;">D</span> <span style="border: 1px solid black; padding: 0 2px;">D</span> <span style="border: 1px solid black; padding: 0 2px;">M</span> <span style="border: 1px solid black; padding: 0 2px;">M</span> <span style="border: 1px solid black; padding: 0 2px;">Y</span> <span style="border: 1px solid black; padding: 0 2px;">Y</span> <span style="border: 1px solid black; padding: 0 2px;">Y</span> <span style="border: 1px solid black; padding: 0 2px;">Y</span>	Employer
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I/We declare that the payment is made on behalf of FII/ Client and the source of this payment is from funds provided to us by FII/Client.	I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans through Payroll Deductions.

### 3. Third Party payment details (Refer instruction No. 4)

Mode of Payment [Please tick (✓)]	Mandatory Enclosure(s)*
Cheque <input type="checkbox"/>	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
Pay Order <input type="checkbox"/>	Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument.
Demand Draft <input type="checkbox"/>	
Banker's Cheque <input type="checkbox"/>	
RTGS <input type="checkbox"/>	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.
NEFT <input type="checkbox"/>	
Fund Transfer <input type="checkbox"/>	

\* Tata Mutual Fund (Tata Mutual Fund/Tata Asset Management Limited (“Tata AMC”) reserves the right to seek information and /or obtain such other additional documents/information from the Third Party for establishing the identity of the Third Party.

Amount#	in figures (₹)	
	in words	

Cheque/DD/PO/UTR No.	Cheque/DD/PO/RTGS Date	D	D	M	M	Y	Y	Y	Y
----------------------	------------------------	---	---	---	---	---	---	---	---

Pay-in Bank A/c No.	
---------------------	--

Name of the Bank \_\_\_\_\_

[illegible]

Account Type [Please tick (✓)] ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ OTHERS \_\_\_\_\_ (please specify)

# including Demand Draft charges, if any.



#### 4. DECLARATIONS & SIGNATURE/S (Refer Instruction 5)

##### THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which Tata Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as Tata Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, Tata Mutual Fund / Tata AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that Tata Mutual Fund / Tata AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

##### Applicable to NRIs only :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

Please ( ) ☐ Yes ☐ No  
If yes, ( ) ☐ Repatriation basis  
☐ Non-repatriation basis

DD	MM	YYYY

Signature of the Third Party

##### BENEFICIAL INVESTOR(S) DECLARATION

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that Tata Mutual Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. TATA Mutual Fund/ Tata AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of Tata Mutual Fund/Tata AMC.

##### Applicable to Guardian receiving funds on behalf of Minor only:

I/We confirm that I/We are the legal guardian of the Minor, registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme on behalf of the minor.

DD	MM	YYYY

SIGNATURE/S

First / Sole  
Applicant /  
Guardian

Second  
Applicant

Third  
Applicant

##### THIRD PARTY PAYMENT RULES

- I. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
- 2a. The following words and expressions shall have the meaning specified herein:
  - (a) **"Beneficial Investor"** is the first named applicant/ investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - (b) **"Third Party"** means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - (c) **"Third Party payment"** is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.  
**Illustrations**  
Illustration 1: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.  
Illustration 2: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.  
Illustration 3: An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A. This will not be considered as Third Party payment.
- 2b. Tata Mutual Fund / Tata Asset Management Limited ("Tata AMC") will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
  - (i) Payment by Parents/Grand-Parents/Related Persons\* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- each regular Purchase or per SIP installment. (This limit of ₹ 50,000 shall not be applicable for investments in Tata Young Citizen Fund. However, the Donors will have to comply with all the requirements specified in 2c below)
  - (ii) Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) Payroll deductions.
  - (iii) Custodian on behalf of an FII or a Client.  
\* 'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
  - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of Tata Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of TATA AMC or visit our website [www.tatamutualfund.com](http://www.tatamutualfund.com) for any further information or updates on the same.



**Please read documentation requirements and Terms & Conditions overleaf. Please fill in the information below in capital.**

**Strike out the sections which is(are) not required. For enquiry please call us on 1800 209 0101 or e-mail us on [kiran@tataamc.com](mailto:kiran@tataamc.com)**

## Tata Mutual Fund

DATE \_\_\_\_\_

D	D		M	M		Y	Y	Y	Y
---	---	--	---	---	--	---	---	---	---

Please tick (✓) the applicable box below. In the absence of indications of the option, the form is liable to be rejected.

**ADDITIONS TO MULTIPLE BANK ACCOUNTS (MAXIMUM 5 ACCOUNTS)**

☐ I/We wish to register multiple bank accounts for my folio. I understand that all the existing bank accounts in the folio will be substituted.

☐ Add one or more bank account(s) to the bank accounts already registered for my folio.  
(For unitholders already registered for multiple bank account(s).)

**Note:** A combination of savings (SB) / NRO accounts is not allowed within a folio. All the bank accounts for receiving redemption / dividend proceeds should be of type SB or NRO in the case the investments are made vide SB or NRO accounts in the folio. If investments are made vide NRE account(s), all the bank accounts registered for redemption should be of type NRE **only** in the folio.

Folio Numbers (s):	1.								/	2.								/	3.									/
	4.								/	5.								/	6.									/

[illegible]

### DEFAULT BANK ACCOUNT

Name of the Bank														
Account Number										Account Type				
										<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE				
Bank Address														
Branch										City				
Pincode					MICR Code					IFSC Code for NEFT				
IFSC Code for RTGS										(If Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, kindly obtain it from your bank branch.)				
Document attached (any one) <input type="checkbox"/> Cancelled Cheque <input type="checkbox"/> Bank Statement <input type="checkbox"/> Pass Book <input type="checkbox"/> Bank Certificate														

[illegible]

Bank Address																																																											
Branch																		City																																									
Pincode																			MICR Code																	IFSC Code for NEFT																							
IFSC Code for RTGS																			(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, kindly obtain it from your bank branch.)																																								
Document attached (any one)										<input type="checkbox"/>	Cancelled Cheque										<input type="checkbox"/>	Bank Statement										<input type="checkbox"/>	Pass Book										<input type="checkbox"/>	Bank Certificate															

[illegible][illegible][illegible]

Bank Address																																																											
Branch																				City																																							
Pincode																				MICR Code																				IFSC Code for NEFT																			
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Document attached (any one)										<input type="checkbox"/>	Cancelled Cheque										<input type="checkbox"/>	Bank Statement										<input type="checkbox"/>	Pass Book										<input type="checkbox"/>	Bank Certificate															

[illegible][illegible]

**PREFERRED MODE FOR RECEIVING REDEMPTION / DIVIDEND, IF ANY**

Unitholders having bank account with **ICICI Bank Ltd / HDFC Bank Ltd / Axis Bank / IDBI Bank / Standard Chartered Bank / Kotak Mahindra Bank / HSBC Bank / Deutsche Bank / Royal Bank of Scotland / Oriental Bank of Commerce / State Bank of India (Core banking centers only - subject to validation)** & who have provided the NEFT/RTGS/ECS code may receive their redemption/dividend proceeds (if any) directly into their bank accounts.

**C. UNITHOLDER(S) DECLARATION**

I/We have read and understood the terms and conditions given below for registration of /changes to multiple bank accounts. I/We request you to register / add the information to the existing details in your records with the information provided above. I/We understand that my /our application form is liable to be rejected if it is not filled as per the directions provided herein and the correct complete supporting documents are not provided by me/us.

I/We hereby declare that the particulars given above are correct and express my/our willingness to receive credit of dividend/redemption proceeds through the mode indicated above. Notwithstanding my/our choice of mode Tata Mutual Fund reserves the right to issue a cheque / credit the account in the mode that they may deem fit. I/We would not hold Tata Mutual Fund responsible, if the transaction is delayed or not effected at all or credited to an incorrect account for reasons of incomplete /incorrect information.

\_\_\_\_\_  
Signature of First / Sole Unitholder / Guardian

\_\_\_\_\_  
Signature of IInd Unitholder

\_\_\_\_\_  
Signature of IIIrd Unitholder

Signature to be as it appears on the Scheme Investment Application Form recorded with us. Signature to be as per the mode of holding.

**SUPPORTING DOCUMENTS REQUIRED**

**Any of the following documents are valid supporting documents for registration of a bank document:**

- (1) A **cancelled original** cheque leaf (where the account number and first unitholder name is printed on the face of cheque).
- (2) A letter from the bank on its letterhead certifying that the unitholder maintains/maintained an account with the bank, the bank account numbers, bank branch, account type, MICR of the branch & IFSC Code for NEFT & IFSC code for RTGS (where available). The letter should be certified by the bank manager with his/her full signature, name employee code, bank seal and contact number.
- (3) A copy of the bank pass book or statement of bank account having the name and address of the account holder and account number. The copy should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- (4) Please note: Only those bank accounts will be registered/added where the valid supporting documents as mentioned above are provided.

**TERMS AND CONDITIONS**

1. Tata Mutual Fund offers a facility to the investors for registration of multiple bank accounts in a folio for receiving redemption proceeds (herein referred to as "the facility"). Individuals/HUF can register upto 5 different bank accounts for a folio by using this form. Non Individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts (only for Non Individual Investors), please use extra copies of this form. Investors have to specify any one bank as "Default". The bank account details mentioned in 'DEFAULT BANK ACCOUNT' section in this form will be considered as "Default".
2. Proceeds of dividends, if any, will be processed into the "Default" bank account only.
3. Proceeds of redemption, if any, will be processed into the "Default" bank account in any of the following scenarios;
  - a. The unitholder(s) does not specify details of any bank account in the redemption request for receiving redemption proceeds
  - b. The unitholder(s) specifies the bank account details of the "Default" bank in the redemption request.
  - c. Maturity of investments in Fixed Maturity Plans (i.e. FMPs) and/or any maturity payout.
  - d. The Unitholder(s) specifies the details of a bank account in the redemption request which is not registered in the folio.
4. Unitholder(s) cannot provide the bank account(s) of any other person to receive the redemption / dividend proceeds. The Unitholder(s) have to submit valid supporting documents for each bank account that they wish to register for receiving redemption / dividend proceeds in the folio. These documents should conclusively prove that the bank accounts provided pertain to the sole / first Unitholder.
5. When unitholder(s) opt for registering multiple bank accounts for the first time in their folio, the details of the bank account currently registered for receipt of redemption /dividend proceeds will be substituted.
6. If multiple bank accounts are already registered for a folio and the unitholder(s) provides a new application form for registering multiple bank account details, the bank account currently for receipt of redemption /dividend proceeds will be substituted.
7. The facility will be activated in the folio within 15 working days from the receipt of duly completed application form at CAMS or AMC office.
8. In case of folio that have availed for 'the facility', any addition / change / deletion in the registered bank accounts will be completed within 15 working days from the receipt of the application form for the same. The request for addition / change / deletion in the registered bank account(s) should be received on application forms available for this purpose. Requests received on a plain paper are liable to be rejected. However, requests for deletion of the registered bank account(s) may be submitted on a plain paper.
9. A letter confirming the registration / addition / change / deletion of multiple bank accounts will be dispatched within 15 working days of the receipt of the application form. Unitholder(s) should preserve this letter for their reference since these bank account details are not mentioned on the account statement.
10. In case 2, 3 or 4 bank accounts have been registered for a folio, unitholder(s) can provide a new bank account. Valid supporting documents for any one of the bank accounts already registered for the folio as well as the new bank account sought to be added for the folio should be submitted.
11. In case of inability to process the request for registration / addition / change / deletion of multiple accounts, intimation will be sent to Unitholder(s).
12. Unitholder(s) can substitute one or more bank accounts registered in the folio by submitting a separate form available for the purpose.
13. Deletion of Bank Accounts:
  - a. Unitholder(s) should submit a written request for deletion of one or more bank account/s registered in the folio. No supporting documents should be submitted along with the request.
  - b. If a request by the Unitholder(s) for deletion of bank account(s) reduces the total number of bank accounts in the folio to One, the request will be considered for opting out of the facility.
  - c. If a request by the Unitholder(s) for deletion of bank account(s) reduces the total number of bank account in the folio to Zero, the request will be rejected.
  - d. The bank account specified as "Default" account cannot be deleted. It can only be submitted with another bank account.
14. The facility for directly credits the Redemption/Dividend payout into the investor's Bank Account in case the account is with ICICI Bank Ltd. / HDFC Bank Ltd. / Axis Bank / IDBI Bank / Standard Chartered Bank / Kotak Mahindra Bank / HSBC Bank / Deutsche Bank / Royal Bank of Scotland / Oriental Bank of Commerce / State Bank of India (Core banking centers only - subject to validation). The list of bank is subject to change from time to time.
15. RTGS / NEFT / ECS are facilities offered by Reserve Bank of India (RBI), for facilitating better customer service by direct credit of dividend/redemption to an investor's bank account through electronic credit. This helps in avoiding loss of dividend/redemption warrant in transit or fraudulent encashment. Payments made through ECS / RTGS / NEFT are subject to applicable rules and policies of RBI and the working of banking system. The Mutual Fund will endeavor to arrange such facility for payment of dividend/redemption proceeds to the Unit holders. It may be noted that there is no commitment from the Mutual Fund that this facility will be made available to the Unit holders for payment of dividend/redemption proceeds.
16. Investors are requested to provide their bank's Indian Financial System code (IFSC), Real Time Gross Settlement (RTGS) or National Electronic Fund Transfer (NEFT) / Magnetic Ink Character Recognition (MICR) code(s).
17. RTGS and NEFT codes may be different for the same bank/branch. Please contact your bank for the details of the same.
18. Any charges levied by the investor's bank for receiving payment through ECS/RTGS/NEFT will be borne by the investor. The Mutual Fund / AMC will not accept any request for refund of such bank charges.
19. Investors who have not opted for the mode of payout through cheque to note that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.
20. Tata Mutual Fund will not be responsible In case the bank does not credit the investor's bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information.
21. Tata Mutual Fund will not be liable for any losses/claims, etc. arising on account of processing the direct credit of redemption / dividend proceeds on the basis of the Bank Account details as provided by the investor in the application form.