





Please read INSTRUCTIONS (Page 24-26) carefully. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

ARN- 0032 <sup>R</sup> CODE	SUB-BROKER CODE	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

**1 EXISTING UNITHOLDERS INFORMATION** If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to Step 4

Name	Mr. Ms. M/s	FIRST	MIDDLE	LAST	Folio No.
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**2 APPLICANT(S) DETAILS** (Please Refer to Instruction No. II (b)) Mandatory information – If left blank the application is liable to be rejected.

1st Applicant	Mr. Ms. M/s	FIRST	MIDDLE	LAST	Date of Birth*	D	D	M	M	Y	Y	Y	Y
PAN*				Enclosed (Please ✓) <sup>5</sup>		<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					
Name of **		Mr. Ms.		GUARDIAN IN CASE FIRST APPLICANT IS A MINOR		OR		CONTACT PERSON IN CASE OF NON-INDIVIDUAL APPLICANTS					
PAN*				Enclosed (Please ✓) <sup>5</sup>		<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					

2nd Applicant	Mr. Ms.	FIRST	MIDDLE	LAST	Date of Birth	D	D	M	M	Y	Y	Y	Y
PAN*				Enclosed (Please ✓) <sup>5</sup>		<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					

3rd Applicant	Mr. Ms.	FIRST	MIDDLE	LAST	Date of Birth	D	D	M	M	Y	Y	Y	Y
PAN*				Enclosed (Please ✓) <sup>5</sup>		<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					

<sup>5</sup> For PAN & KYC requirements, please refer to the instruction Nos. II b(4), V(I) & X \* Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor

<b>Mode of holding</b> [Please tick (✓)] <input type="radio"/> Single <input type="radio"/> Joint <input type="radio"/> Anyone or Survivor (Default option: Anyone or Survivor)	<b>Status of First Applicant</b> [Please tick (✓)] <input type="radio"/> Others <input type="radio"/> Minor <input type="radio"/> NRI/PIO <input type="radio"/> Resident Individual <input type="radio"/> AOP/Bol <input type="radio"/> Trust <input type="radio"/> Bank/Fl	PLEASE SPECIFY <input type="radio"/> HUF <input type="radio"/> Club/Society <input type="radio"/> Sole Proprietorship <input type="radio"/> Company <input type="radio"/> Partnership Firm <input type="radio"/> FII
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<b>Correspondence Address (Please provide full address)*</b> HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN      STATE COUNTRY      PIN CODE	<b>Overseas Address (Mandatory for NRI / FII Applicants)</b> HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN      STATE COUNTRY      PIN CODE	
Tel. (Off.)	Tel. (Res.)	Fax
Email		Mobile

**Occupation** [Please tick (✓)]  Professional  Business  Retired  Housewife  Service  Student  Others (Please specify)

Please ✓ if you wish to receive Account statement / Annual Report/ Other statutory information via Post instead of Email

Please ✓ any of the frequencies to receive **Account Statement through e-mail<sup>f</sup>** :  Daily  Weekly  Monthly  Quarterly  Half Yearly  Annually

\* Mandatory information – If left blank the application is liable to be rejected. <sup>f</sup> Please refer to instruction no.IX

**3 BANK ACCOUNT DETAILS OF FIRST APPLICANT** (Please Refer to Instruction No. III) Mandatory information – If left blank the application is liable to be rejected.

<b>MANDATORY</b>	Account Type	<input type="radio"/> Current <input type="radio"/> Savings <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR	Account Number
	Name of Bank		
	Branch Details	BRANCH NAME	BRANCH CITY
	9 Digit MICR code	11 Digit IFSC Code	

**4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT** (Please refer Instruction No. XI)  NSDL OR  CDSL

Depository Participant (DP) ID (NSDL only)	Beneficiary Account Number (NSDL only)	Depository Participant (DP) ID (CDSL only)
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**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US** Application No.

**ICICI Prudential Asset Management Company Limited**

3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051. India

SIGNATURE STAMP & DATE      SIGNATURE STAMP & DATE      SIGNATURE STAMP & DATE

**TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

**5 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. IV)** For Plans & Sub-options please see key features for scheme specific details

Name of scheme **ICICI PRUDENTIAL**

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option     Institutional Option    
  Growth    **OR**     Dividend –  Reinvestment **OR**  Payout    **OR**     AEP ^ –  Regular\* **OR**  Appreciation  
\* Cumulative – AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

**Dividend Frequencies**  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly    
 **AEP Frequencies**  Monthly  Quarterly  Half Yearly

Micro SIPs (Please ✓) Mandatory for investment of equal to or less than ₹ 50,000/- per annum under SIP registration (Please refer instruction No. V(I))

1 <sup>st</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
2 <sup>nd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
3 <sup>rd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER

**SIP Through**  ECS/Standing Instruction / Direct Debit     PDCs †    
 **SIP Date**  7<sup>th</sup>  10<sup>th</sup>  15<sup>th</sup>  25<sup>th</sup>    
 **SIP Frequency\***  Monthly  Quarterly

**Payment Details for First Cheque/DD**    **Mode of Payment**  Cheque  DD  Funds Transfer  NEFT  RTGS  
 Amount Paid ₹     DD Charges (if applicable) ₹     Amount Invested ₹   
 Cheque/DD Number     Date     BANK / BRANCH

**Subsequent SIP Installment Details**

From Cheque No.  to Cheque No.     Amount Invested ₹   
 No. of Cheques     Drawn on   
 Start Month/Year     End Date  12 / 2014  12 / 2016  Or other please fill in alongside

^ AEP - Automatic encashment plan, Please ✓ applicable check boxes. \*Default SIP Frequency is Monthly. † PDCs - Post dated Cheques

**SIP Top Up\*** (Optional) ₹     **Top Up Frequency\***  Half Yearly  Yearly    
 #Top Up amount has to be in multiples of ₹ 500 only. \$ In case of quarterly SIP, only yearly frequency is available under SIP TOP UP. Please refer to instructions V (k).

**BANK ACCOUNT DETAILS (For Payment Details of SIP first Cheque/DD & Installments)** Mandatory information – If left blank the application is liable to be rejected.

**Account Type**  Current  Savings  NRO  NRE  FCNR    **Account Number**   
**Name of Bank**     **BRANCH NAME / CITY**

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. For Third Party Payment Declaration form and instructions please refer to pages 19-20 and 25 respectively.

Please ensure that the Bank Account details are mentioned for Cheque and Demand Draft (DD) payments for firsts & subsequent payment details.

**6 NOMINATION DETAILS** • For Single nomination, please fill in the details below •For Multiple nominations, please use the form on page 23

I/We hereby nominate the under-mentioned Nominee to receive the amounts to my/our credit in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.


Date of Birth is MANDATORY in case Nominee is a minor

Nominee     Date of Birth   
 Guardian     RELATIONSHIP WITH MINOR   
 Nominee's Address     STREET ADDRESS   
    PIN CODE     SIGNATURE OF GUARDIAN

**7 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, **ICICI Prudential Mutual Fund**, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

SIGNATURE OF FIRST APPLICANT     SIGNATURE OF SECOND APPLICANT     SIGNATURE OF THIRD APPLICANT    


**ACKNOWLEDGEMENT SLIP**    To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.  
**Please Retain this Slip**

Scheme **ICICI PRUDENTIAL**    SCHEME AND OPTION     ₹ TOTAL AMOUNT     ₹ AMOUNT PER CHEQUE   
 From Cheque/DD No.     To Cheque/DD No.     BANK AND BRANCH   
 From Date     End Date\*\*  12 / 2014  12 / 2016  12 / 2021  12 / 2099



Please read INSTRUCTIONS (Page 8) carefully. All sections to be completed in ENGLISH in BLACK / DARK COLOURED INK and in BLOCK LETTERS.

ARN- 0032 ER CODE	SUB-BROKER CODE	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

Please tick (✓)  New Registration  Cancellation  Change in Bank Account\* [\*Please provide a cancelled cheque] Date: 

D	D	M	M	Y	Y
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The Trustee, ICICI Prudential Mutual Fund,

I/We have read and understood the contents of the Offer Document/Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

<b>Sole/First Applicant's Name</b>	<b>Existing Folio No.</b>
Mr. Ms. M/s FIRST MIDDLE LAST	

Scheme Name: ICICI PRUDENTIAL	SIP Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly (Default SIP frequency is Monthly)
Plan/Option*: Sub-Option*:	In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.
*Refer to the "Key Features" on pages 27-33	

Each SIP Amount: Rs. Rupees in words:	SIP Start Month/Year
	M M Y Y Y Y
	SIP End Month/Year
	<input type="checkbox"/> 12 / 2014 <input type="checkbox"/> 12 / 2016
	<input type="checkbox"/> 12 / 2021 <input type="checkbox"/> 12 / 2099
	<input type="checkbox"/> Or other please fill in below
	M M Y Y Y Y

<input type="checkbox"/> <b>SIP TOP UP</b> (Optional) (Tick to avail this facility)	TOP UP Amount*: Rs.	TOP UP Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly
	*TOP UP amount has to be in multiples of Rs.500 only.	[Please refer to Instruction No. C(6)]

**YOUR CONFIRMATION/DECLARATION:** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder	2nd Holder	3rd Holder
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I/We, Mr. / Ms. / M/s. (NAME AS PER THE BANK RECORD) (NAME AS PER THE BANK RECORD)

hereby authorise ICICI Prudential Mutual Fund and their authorised service providers to debit from my/our Bank Account No. mentioned below (hereinafter referred as "funding account") by ECS (Debit Clearing)/ Direct Debit for collection of SIP payments/authorise the bank to record a Standing Instruction for debit to my bank account as mentioned below, as instructed by ICICI Prudential Mutual Fund.

**PARTICULARS OF BANK ACCOUNT**

Account Type	<input type="radio"/> Current <input type="radio"/> Savings <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR	Account Number
Name of Bank		
Branch Name	BRANCH CITY	
9 Digit MICR code	(Please enter the 9 digit number that appears next to the cheque number). In case of At Par accounts, kindly provide the correct MICR number of the bank branch. MICR code starting and/or ending with 000 are not valid for ECS.	
Enclosed [please tick (✓)]: <input type="checkbox"/> Blank cancelled cheque <input type="checkbox"/> Photocopy of Cheque [Please refer to Instruction No. C(5)]		

**Authorisation of the Bank Account Holder for Auto Debit (ECS)/Standing Instruction/Direct Debit**

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform ICICI Prudential Mutual Fund, about any changes in my bank account. I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme. I/We apply for the units of the Scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. This is to inform I/we have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in ICICI Prudential Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/our account happens to be a non banking/business day as defined in the Scheme Information Document of the said Scheme of ICICI Prudential Mutual Fund, execution of the debit will happen as per the normal practice of the bank mandated by the investor and allotment of units will happen as per the Terms and Conditions listed in the Scheme Information Document of the Mutual Fund. I/We have read and understood the Scheme Information Document(s) of the Fund. I/We apply for the units of the scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the terms & conditions, investment objectives, investment pattern, fundamental objectives and risk factors applicable to the Plans and/or Options under the Scheme(s). I/We agree to abide by the terms, conditions, rules and regulations of the Plan(s). I/We have understood the details of the scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby agree to avail the TOP UP facility for SIP and authorize my bank to execute the ECS/Standing Instruction/Direct Debit for a further increase in installment from my designated account. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay / wrong debits on the part of the bank for executing the standing instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS (Mandatory)**

1st Holder	2nd Holder	3rd Holder
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BANK MANDATE SECTION (Mandatory)



**ACKNOWLEDGEMENT SLIP**  
(To be filled in by the investor)

SIP TOP UP Amount Rs. Frequency:  Half Yearly  Yearly

Scheme Name: \_\_\_\_\_  
Option: \_\_\_\_\_  
Sub-Option: \_\_\_\_\_

Folio No./ Application No. \_\_\_\_\_  
Acknowledgement Stamp

## TERMS AND CONDITIONS

### A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

#### List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhavnagar • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • New Delhi • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Thiruvananthapuram • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Tumkur • Udaipur • Udipi • Varanasi • Vijaywada • Vishakhapatnam.

1. This facility is offered to the investors having bank accounts **in the select cities mentioned above**. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
2. The bank account provided for ECS (Debit) should participate in local MICR clearing.
3. SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
4. Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.  
The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date**.
5. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
6. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
7. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
8. In case of "At Par" cheques, investors need to mentioned the MICR number of his actual bank branch.
9. Investor(s) must select only one SIP Date and SIP End Month. In case the investor does not select any Date/End Month or selects Multiple Dates/Months, the AMC reserves the right to reject the application.

### B) SIP Payment through Standing Instruction/Direct Debit Facility

1. Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank, ICICI Bank, State Bank of India & The Dhanalakshmi Bank Ltd.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Bank of Baroda, Bank of India, Punjab National Bank & Union Bank of India.

\* Please contact your local bank branch to confirm if it offers core banking facility.

2. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date.
3. Standing Instructions incomplete in any respect are liable to be rejected.
4. SIP is liable for cancellation if direct debit fails for three consecutive times.
5. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

### C) General Instructions

1. Existing investors need to provide their folio number in this Standing Instruction or the Auto Debit form and need not to fill in the Common Application Form.  
**For minimum application amount to be invested in SIP, risk factors, features etc. please refer to page nos. 27-33 of the Key Information Memorandum.**
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. For load structure of the schemes, please refer to the Key Features on page nos. 27-33.
5. In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
6. **SIP TOP UP Facility:**
  - (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
  - (b) The TOP UP amount has to be in multiples of Rs.500 only.
  - (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered.
  - (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.

Please read INSTRUCTIONS (Page 24-26) carefully. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

ARN- 0032 <small>KEY CODE</small>	SUB-BROKER CODE	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

**1 EXISTING UNITHOLDERS INFORMATION** If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to Step 4

Name  Mr.  Ms.  M/s FIRST MIDDLE LAST Folio No. /

**2 APPLICANT(S) DETAILS** (Please Refer to Instruction No. II (b)) Mandatory information – If left blank the application is liable to be rejected.

1st Applicant  Mr.  Ms.  M/s FIRST MIDDLE LAST Date of Birth\* 

D	D	M	M	Y	Y	Y	Y
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PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

Name of \*\*  Mr.  Ms. GUARDIAN IN CASE FIRST APPLICANT IS A MINOR OR CONTACT PERSON IN CASE OF NON-INDIVIDUAL APPLICANTS

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

2nd Applicant  Mr.  Ms. FIRST MIDDLE LAST Date of Birth\* 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

3rd Applicant  Mr.  Ms. FIRST MIDDLE LAST Date of Birth\* 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

<sup>5</sup> For PAN & KYC requirements, please refer to the instruction Nos. II (b)(4), V(I) & X \* Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor

<b>Mode of holding</b> [Please tick (✓)] <input type="radio"/> Single <input type="radio"/> Joint <input type="radio"/> Anyone or Survivor <small>(Default option: Anyone or Survivor)</small>	<b>Status of First Applicant</b> [Please tick (✓)] <input type="radio"/> Others <input type="radio"/> Minor <input type="radio"/> NRI/PIO <input type="radio"/> Resident Individual <input type="radio"/> HUF <input type="radio"/> Trust <input type="radio"/> Bank/FI <input type="radio"/> AOP/Bol <input type="radio"/> Club/Society <input type="radio"/> Sole Proprietorship <input type="radio"/> Partnership Firm <input type="radio"/> Company <input type="radio"/> FII	PLEASE SPECIFY
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<b>Correspondence Address (Please provide full address)*</b> HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN STATE COUNTRY PIN CODE	<b>Overseas Address (Mandatory for NRI / FII Applicants)</b> HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN STATE COUNTRY PIN CODE
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Tel. (Off.)  Tel. (Res.)  Fax   
 Email  Mobile

Occupation [Please tick (✓)]  Professional  Business  Retired  Housewife  Service  Student  Others (Please specify)

Please ✓ if you wish to receive Account statement / Annual Report/ Other statutory information via Post instead of Email

Please ✓ any of the frequencies to receive **Account Statement through e-mail**<sup>6</sup>:  Daily  Weekly  Monthly  Quarterly  Half Yearly  Annually

\* Mandatory information – If left blank the application is liable to be rejected. <sup>6</sup> Please refer to instruction no. IX

**3 BANK ACCOUNT DETAILS OF FIRST APPLICANT** (Please Refer to Instruction No. III) Mandatory information – If left blank the application is liable to be rejected.

<b>MANDATORY</b>	<b>Account Type</b> <input type="radio"/> Current <input type="radio"/> Savings <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR <b>Account Number</b> <input type="text"/>
<b>Name of Bank</b> <input type="text"/>	
<b>Branch Details</b> <input type="text"/> BRANCH NAME <input type="text"/> BRANCH CITY	
<b>9 Digit MICR code</b> <input type="text"/> <b>11 Digit IFSC Code</b> <input type="text"/>	

**4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT** (Please refer Instruction No. XI)  NSDL OR  CDSL

<b>Depository Participant (DP) ID (NSDL only)</b> <input type="text"/>	<b>Beneficiary Account Number (NSDL only)</b> <input type="text"/>	<b>Depository Participant (DP) ID (CDSL only)</b> <input type="text"/>
--	--	--



**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US**  
**ICICI Prudential Asset Management Company Limited**

3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051. India

Application No.

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

**TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

**5 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. IV)** For Plans & Sub-options please see key features for scheme specific details

Name of scheme **ICICI PRUDENTIAL**

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option     Institutional Option    
  Growth    **OR**     Dividend –  Reinvestment **OR**  Payout    **OR**     AEP ^ –  Regular\* **OR**  Appreciation  
\* Cumulative – AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

**Dividend Frequencies**  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly    
 **AEP Frequencies**  Monthly  Quarterly  Half Yearly

Micro SIPs (Please ✓) Mandatory for investment of equal to or less than ₹ 50,000/- per annum under SIP registration (Please refer instruction No. V(I))

1 <sup>st</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
2 <sup>nd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
3 <sup>rd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER

**SIP Through**  ECS/Standing Instruction / Direct Debit     PDCs †    
 **SIP Date**  7<sup>th</sup>  10<sup>th</sup>  15<sup>th</sup>  25<sup>th</sup>    
 **SIP Frequency\***  Monthly  Quarterly

**Payment Details for First Cheque/DD**    **Mode of Payment**  Cheque  DD  Funds Transfer  NEFT  RTGS  
 Amount Paid ₹     DD Charges (if applicable) ₹     Amount Invested ₹   
 Cheque/DD Number     Date     BANK / BRANCH

**Subsequent SIP Installment Details**  
 From Cheque No.  to Cheque No.     Amount Invested ₹   
 No. of Cheques     Drawn on   
 Start Month/Year     End Date  12 / 2014  12 / 2016  Or other please fill in alongside

^ AEP - Automatic encashment plan, Please ✓ applicable check boxes. \*Default SIP Frequency is Monthly. † PDCs - Post dated Cheques

**SIP Top Up\*** (Optional) ₹     **Top Up Frequency\***  Half Yearly  Yearly    
 #Top Up amount has to be in multiples of ₹ 500 only. \$ In case of quarterly SIP, only yearly frequency is available under SIP TOP UP. Please refer to instructions V (k).

**BANK ACCOUNT DETAILS** (For Payment Details of SIP first Cheque/DD & Installments) Mandatory information – If left blank the application is liable to be rejected.

**Account Type**  Current  Savings  NRO  NRE  FCNR    **Account Number**   
**Name of Bank**     **BRANCH NAME / CITY**

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. For Third Party Payment Declaration form and instructions please refer to pages 19-20 and 25 respectively.

Please ensure that the Bank Account details are mentioned for Cheque and Demand Draft (DD) payments for firsts & subsequent payment details.

**6 NOMINATION DETAILS** • For Single nomination, please fill in the details below •For Multiple nominations, please use the form on page 23

I/We hereby nominate the under-mentioned Nominee to receive the amounts to my/our credit in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

*Date of Birth is MANDATORY in case Nominee is a minor*

**Nominee**     **Date of Birth**   
**Guardian**     **RELATIONSHIP WITH MINOR**   
**Nominee's Address**       
       

**7 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, **ICICI Prudential Mutual Fund**, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. *If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).*

SIGNATURE OF FIRST APPLICANT     SIGNATURE OF SECOND APPLICANT     SIGNATURE OF THIRD APPLICANT    

**ACKNOWLEDGEMENT SLIP** Please Retain this Slip To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

Scheme **ICICI PRUDENTIAL**    SCHEME AND OPTION     ₹ TOTAL AMOUNT     ₹ AMOUNT PER CHEQUE   
 From Cheque/DD No.     To Cheque/DD No.     BANK AND BRANCH

From Date     End Date\*\*  12 / 2014  12 / 2016  12 / 2021  12 / 2099



Please read INSTRUCTIONS (Page 12) carefully. All sections to be completed in ENGLISH in BLACK / DARK COLOURED INK and in BLOCK LETTERS.

ARN- 0032 <small>ARN CODE</small>	SUB-BROKER CODE	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

Please tick (✓)  New Registration  Cancellation  Change in Bank Account\* [\*Please provide a cancelled cheque] Date: 

D	D	M	M	Y	Y
---	---	---	---	---	---

The Trustee, ICICI Prudential Mutual Fund,

I/We have read and understood the contents of the Offer Document/Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

<b>Sole/First Applicant's Name</b>	<b>Existing Folio No.</b>
Mr. Ms. M/s FIRST MIDDLE LAST	

Scheme Name: ICICI PRUDENTIAL	SIP Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <i>(Default SIP frequency is Monthly)</i>
Plan/Option*: Sub-Option*:	In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.
<small>*Refer to the "Key Features" on pages 27-33</small>	

Each SIP Amount: Rs. Rupees in words:	SIP Start Month/Year <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>	M	M	Y	Y	Y	Y
M	M	Y	Y	Y	Y		
SIP End Month/Year							
<input type="checkbox"/> 12 / 2014 <input type="checkbox"/> 12 / 2016							
<input type="checkbox"/> 12 / 2021 <input type="checkbox"/> 12 / 2099							
<input type="checkbox"/> Or other please fill in below							
<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>		M	M	Y	Y	Y	Y
M	M	Y	Y	Y	Y		

<input type="checkbox"/> <b>SIP TOP UP</b> <small>(Optional)</small> <small>(Tick to avail this facility)</small>	TOP UP Amount*: Rs.	TOP UP Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly
<small>*TOP UP amount has to be in multiples of Rs.500 only. [Please refer to Instruction No. C(6)]</small>		

**YOUR CONFIRMATION/DECLARATION:** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder	2nd Holder	3rd Holder
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I/We, Mr. / Ms. / M/s.	(NAME AS PER THE BANK RECORD)	(NAME AS PER THE BANK RECORD)
------------------------	-------------------------------	-------------------------------

hereby authorise ICICI Prudential Mutual Fund and their authorised service providers to debit from my/our Bank Account No. mentioned below (hereinafter referred as "funding account") by ECS (Debit Clearing)/ Direct Debit for collection of SIP payments/authorise the bank to record a Standing Instruction for debit to my bank account as mentioned below, as instructed by ICICI Prudential Mutual Fund.

**PARTICULARS OF BANK ACCOUNT**

Account Type	<input type="radio"/> Current <input type="radio"/> Savings <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR	Account Number
Name of Bank	BRANCH CITY	
Branch Name	9 Digit MICR code	
<small>(Please enter the 9 digit number that appears next to the cheque number). In case of At Par accounts, kindly provide the correct MICR number of the bank branch. MICR code starting and/or ending with 000 are not valid for ECS.</small>		
Enclosed [please tick (✓)]: <input type="checkbox"/> Blank cancelled cheque <input type="checkbox"/> Photocopy of Cheque [Please refer to Instruction No. C(5)]		

**Authorisation of the Bank Account Holder for Auto Debit (ECS)/Standing Instruction/Direct Debit**

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform ICICI Prudential Mutual Fund, about any changes in my bank account. I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme. I/We apply for the units of the Scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. This is to inform I/we have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in ICICI Prudential Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/our account happens to be a non banking/business day as defined in the Scheme Information Document of the said Scheme of ICICI Prudential Mutual Fund, execution of the debit will happen as per the normal practice of the bank mandated by the investor and allotment of units will happen as per the Terms and Conditions listed in the Scheme Information Document of the Mutual Fund. I/We have read and understood the Scheme Information Document(s) of the Fund. I/We apply for the units of the scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the terms & conditions, investment objectives, investment pattern, fundamental objectives and risk factors applicable to the Plans and/or Options under the Scheme(s). I/We agree to abide by the terms, conditions, rules and regulations of the Plan(s). I/We have understood the details of the scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby agree to avail the TOP UP facility for SIP and authorize my bank to execute the ECS/Standing Instruction/Direct Debit for a further increase in installment from my designated account. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay / wrong debits on the part of the bank for executing the standing instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS (Mandatory)**

1st Holder	2nd Holder	3rd Holder
------------	------------	------------

BANK MANDATE SECTION (Mandatory)



**ACKNOWLEDGEMENT SLIP**  
(To be filled in by the investor)

<input type="checkbox"/> <b>SIP TOP UP</b>	Amount Rs.	Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly
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Scheme Name: _____	Folio No./ Application No.
Option: _____	Acknowledgement Stamp
Sub-Option: _____	

## TERMS AND CONDITIONS

### A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

#### List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhavnagar • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • New Delhi • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Thiruvananthapuram • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Tumkur • Udaipur • Udupi • Varanasi • Vijaywada • Vishakhapatnam.

1. This facility is offered to the investors having bank accounts **in the select cities mentioned above**. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
2. The bank account provided for ECS (Debit) should participate in local MICR clearing.
3. SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
4. Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.  
The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date**.
5. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
6. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
7. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
8. In case of "At Par" cheques, investors need to mentioned the MICR number of his actual bank branch.
9. Investor(s) must select only one SIP Date and SIP End Month. In case the investor does not select any Date/End Month or selects Multiple Dates/Months, the AMC reserves the right to reject the application.

### B) SIP Payment through Standing Instruction/Direct Debit Facility

1. Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank, ICICI Bank, State Bank of India & The Dhanalakshmi Bank Ltd.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Bank of Baroda, Bank of India, Punjab National Bank & Union Bank of India.

\* Please contact your local bank branch to confirm if it offers core banking facility.

2. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date.
3. Standing Instructions incomplete in any respect are liable to be rejected.
4. SIP is liable for cancellation if direct debit fails for three consecutive times.
5. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

### C) General Instructions

1. Existing investors need to provide their folio number in this Standing Instruction or the Auto Debit form and need not to fill in the Common Application Form.  
**For minimum application amount to be invested in SIP, risk factors, features etc. please refer to page nos. 27-33 of the Key Information Memorandum.**
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. For load structure of the schemes, please refer to the Key Features on page nos. 27-33.
5. In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
6. **SIP TOP UP Facility:**
  - (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
  - (b) The TOP UP amount has to be in multiples of Rs.500 only.
  - (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered.
  - (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.

Please read INSTRUCTIONS (Page 24-26) carefully. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

ARN- 0032 <small>ER CODE</small>	SUB-BROKER CODE	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

**1 EXISTING UNITHOLDERS INFORMATION** If you have an existing folio no. with PAN & KYC validation please mention your name & folio No.

Name  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Folio No.  /

**2 APPLICANT(S) DETAILS** (Please Refer to Instruction No. II (b)) Mandatory information - If left blank the application is liable to be rejected.

1st Applicant  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Date of Birth\*  D  D  M  M  Y  Y  Y  Y

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

Name of \*\*  Mr.  Ms.  GUARDIAN IN CASE FIRST APPLICANT IS A MINOR OR CONTACT PERSON IN CASE OF NON-INDIVIDUAL APPLICANTS

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

2nd Applicant  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Date of Birth  D  D  M  M  Y  Y  Y  Y

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

3rd Applicant  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Date of Birth  D  D  M  M  Y  Y  Y  Y

PAN\*  Enclosed (Please ✓)<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

<sup>5</sup> For PAN & KYC requirements, please refer to the instruction Nos. II b(4), V(I) & X \* Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor  
 \* Mandatory information - if left blank the applicable is liable to be rejected.

**3 SYSTEMATIC TRANSFER PLAN (STP)** (Please refer to instruction No. XII)

Name of scheme **ICICI PRUDENTIAL** (SCHEME FROM WHICH YOU WISH TO TRANSFER AMOUNT)

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option  Institutional Option  Growth OR  Dividend -  Reinvestment or  Payout OR  AEP ^ -  Regular\* or  Appreciation

\* Cumulative - AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

Dividend Frequencies  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly **AEP Frequencies**  Monthly  Quarterly  Half Yearly

Name of scheme **ICICI PRUDENTIAL** (SCHEME INTO WHICH YOU WISH TO TRANSFER AMOUNT)

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option  Institutional Option  Growth OR  Dividend -  Reinvestment or  Payout OR  AEP ^ -  Regular\* or  Appreciation

\* Cumulative - AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

Dividend Frequencies  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly **AEP Frequencies**  Monthly  Quarterly  Half Yearly

**Transfer Frequencies**  Daily  Weekly  Monthly  Quarterly **STP Date** (Monthly frequency only)  7<sup>th</sup>  10<sup>th</sup>  15<sup>th</sup>  25<sup>th</sup>  Last business day of Month

Installment Amount ₹  No. of Installments  (Minimum 6 installments)

Note: In case of Daily STP the minimum installment amount is ₹ 250 & in multiples of ₹ 50 thereof and minimum installment criteria shall not be applicable. (Daily STP is available for specific source & target schemes, please refer to instruction XII)

**4 SYSTEMATIC WITHDRAWAL PLAN (SWP)** (Please refer to instruction No. XIII)

Name of scheme **ICICI PRUDENTIAL** (SCHEME FROM WHICH YOU WISH TO WITHDRAWAL AMOUNT)

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme from which you wish to Systematically withdraw)

Retail Option  Institutional Option  Growth OR  Dividend -  Reinvestment or  Payout OR  AEP ^ -  Regular\* or  Appreciation

\* Cumulative - AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

Dividend Frequencies  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly **AEP Frequencies**  Monthly  Quarterly  Half Yearly

**Withdrawal Amount** ₹  **Frequency**  Monthly  Quarterly

**5 DIVIDEND TRANSFER (DTP)** (Please refer to instruction No. XIV)

Name of Source scheme **ICICI PRUDENTIAL** (SCHEME FROM WHICH YOU WISH TO OPT FOR DTP)

Name of Target scheme **ICICI PRUDENTIAL** (SCHEME INTO WHICH YOU WISH TO TRANSFER DIVIDEND)

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme into which you wish to transfer dividend)

Retail Option  Institutional Option  Growth OR  Dividend -  Reinvestment or  Payout OR  AEP ^ -  Regular\* or  Appreciation

\* Cumulative - AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

Dividend Frequencies  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly **AEP Frequencies**  Monthly  Quarterly  Half Yearly

**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US**

**ICICI Prudential Asset Management Company Limited**

3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051. India

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

TOLL FREE NUMBER 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS)

EMAIL enquiry@icicipruamc.com

WEBSITE www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

**6 TRIGGER REGISTRATION / CANCELLATION (Please refer to instruction No. XV)**

Please   New Registration  Update existing registration  Refresh Trigger NAV\*  Cancellation (Of any trigger set-up registered earlier)

NAV Appreciation (Please )  12%  20%  50%  100% Trigger Amount (Please )  Appreciation amount only  All units

Amount / Units to be triggered to Retail Option under (Please )

ICICI Prudential Floating Rate Plan -  Plan A  Plan B  ICICI Prudential Flexible Income Plan  
 ICICI Prudential Income Plan  ICICI Prudential Short Term Plan  ICICI Prudential Liquid Plan

Trigger can only be done from ICICI Prudential Target Returns Fund – Growth Option. There is no guarantee or assurance of returns

For Plans & Sub-options please refer to the Key Scheme Features on Pages 27–33

PLAN AND OPTION

(Default Trigger option: NAV appreciation 20% ICICI Liquid Plan Growth Option.) <sup>§</sup> Cumulative-AEP Regular option: Encashment of units is subject to declaration of dividend into respective scheme(s).

**7 ENTRY TRIGGER REGISTRATION / CANCELLATION (Please refer to instruction No. XV)**

Please   New Registration  Update existing registration  Refresh Trigger NAV\*  Cancellation (Of any trigger set-up registered earlier)

Amount / Units to be triggered From Retail Option under (Please  Source Scheme)

PLAN AND OPTION

ICICI Prudential Floating Rate Plan -  Plan A  Plan B  ICICI Prudential Flexible Income Plan  ICICI Prudential Income Plan

ICICI Prudential Short Term Plan  ICICI Prudential Liquid Plan Sub-options (Please )  Growth / Cumulative  Dividend

**TARGET SCHEME – (Please  Scheme Option)**

**ICICI PRUDENTIAL TARGET RETURNS FUND**

Growth/Cumulative or

Dividend -  Reinvestment or  Payout

₹ TOTAL AMOUNT TO BE REGISTERED

₹ AMOUNT IN WORDS

AMOUNT IN WORDS

**TRIGGER LEVEL – (Please  Scheme Option)**

% drop in NAV of ICICI Prudential Target Returns Fund (Please ) or BSE Sensex Value

5% or IN MULTIPLES OF 100 POINTS

10% or IN MULTIPLES OF 100 POINTS

15% or IN MULTIPLES OF 100 POINTS

20% or IN MULTIPLES OF 100 POINTS

(Please refer instruction XV f (ii))

**TRIGGER AMOUNT – (Please  Scheme Option)**

% of Total Registered Amount to be Transferred

MINIMUM 10% AND IN MULTIPLE OF 5%

MINIMUM 10% AND IN MULTIPLE OF 5%

MINIMUM 10% AND IN MULTIPLE OF 5%

MINIMUM 10% AND IN MULTIPLE OF 5%

100 % of Total Registered Amount

**8 LIQUITY FACILITY (Please refer to instruction No. XVI)**

**SOURCE SCHEMES & OPTIONS** (Appreciation / Dividend amount to be transferred from - Please  any one of the Scheme / Options)

**ICICI PRUDENTIAL FLEXIBLE INCOME PLAN**

Premium Option  Growth OR Dividend Reinvestment -  Daily or  Weekly OR Dividend Payout -  Weekly

Regular Option  Growth OR  Dividend Reinvestment

**ICICI PRUDENTIAL LIQUID PLAN**

Retail Option  Growth OR Dividend Payout -  Quarterly or  Half Yearly OR Dividend Reinvestment -  Daily  Weekly  Monthly

Institutional Option  Growth OR Dividend -  Daily or  Weekly or  Monthly

**ICICI PRUDENTIAL ULTRA SHORT TERM PLAN**

Regular Option  Premium Option  Premium Plus Option  Super Premium Option

Growth OR Dividend Reinvestment -  Daily or  Weekly or  Fortnightly or  Monthly or  Quarterly OR Dividend Payout -  Quarterly

**TARGET SCHEMES & OPTIONS** (Appreciation / Dividend amount to be transferred from - Please  any one of the Schemes / only Growth Option available)

ICICI Prudential Focused Bluechip Equity Fund  ICICI Prudential Dynamic Plan  ICICI Prudential Infrastructure Fund

ICICI Prudential Emerging S.T.A.R. (Stocks targeted at returns) Fund  ICICI Prudential Power  ICICI Prudential Discovery Fund

**9 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. *If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).*

SIGNATURE OF FIRST APPLICANT

SIGNATURE OF SECOND APPLICANT

SIGNATURE OF THIRD APPLICANT

D D M M Y Y



**ACKNOWLEDGEMENT SLIP**

Please Retain this Slip

To be filled by investor Subject to realization of cheque & furnishing of mandatory information / documents.

Scheme	ICICI PRUDENTIAL	SCHEME AND OPTION	Rs.	AMOUNT	UNITS
<input type="checkbox"/> Trigger <input type="checkbox"/> Entry Trigger <input type="checkbox"/> STP <input type="checkbox"/> SWP <input type="checkbox"/> DTP <input type="checkbox"/> Liquity		EXISTING FOLIO NO. /			
SOURCE / FROM SCHEME	TARGET / TO SCHEME	FREQUENCY & NO. OF INSTALLMENTS			

**Pru Tracker** is a facility that enables you to actively track & manage your investments online.

Using this web based tool you can initiate transactions (Invest/Systematically Invest/Redeem etc), track your own & your family's portfolios, view & download your account statements, analyze scheme performance and avail of many more features, which allow you to manage your investments at your own convenience.

**HOW TO REGISTER**

Registering on the Pru Tracker is extremely easy. All you have to do is follow 3 simple steps.

**STEP 1**

Fill up the Pru Tracker Registration form and hand it over to your Relationship Manager or send it to: Unit Pru Tracker, ICICI Prudential Asset Management Company Ltd., 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063, INDIA.

**STEP 2**

On receiving the completely filled in and signed form, we will conduct the necessary verification and issue an IPIN. The IPIN will be sent via email to the email id mentioned in the form.

**STEP 3**

In your IPIN email, click on the specified link which will enable you to create your Pru Tracker username and password. Once you have activated your account, you can access your Pru Tracker account any time with just your username and password.

**Mapping Additional Folios:**

In case you have more than one folio numbers, you can map all your folios to the same username and password. It is important that an "IPIN" is generated for each of these folio nos. The IPINs for the additional folios will be sent via separate emails. You can visit the "Manage My Account" section in your Pru Tracker account to map the additional folios.

**APPLICATION FORM**

Date\*   /   /    
DD MM YY

Folio No.1*	<input type="text"/>	Folio No.2	<input type="text"/>
Folio No.3	<input type="text"/>	Folio No.4	<input type="text"/>
Folio No.5	<input type="text"/>	Folio No.6	<input type="text"/>
Folio No.7	<input type="text"/>	Folio No.8	<input type="text"/>

Investor Name\* \_\_\_\_\_

Authorised Signatory#\* \_\_\_\_\_

Address\* \_\_\_\_\_

E-mail address\*

City\*  PIN\*

State \_\_\_\_\_ Country\* \_\_\_\_\_

Res. Tel. No.\*  -  Mobile No.

Off. Tel. No.\*  -  Marital Status Single  Married  No. of Children

I/We have read and understood the terms and conditions of using Pru Tracker provided overleaf and agree to abide by them.

**Signatures\*\***

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1st Holder

2nd Holder

3rd Holder

^ The time at which the transaction is confirmed at the web server of AMC, shall be considered as final and binding for determining the cut off timing. For more details please refer the Offer Document and addendums issued.

All fields marked \*are mandatory \*\*In case of the event of a joint mode of holding, signatures of all signatories are mandatory. # Please mention any one of the authorised signatories name for using this facility.

## Pru Tracker - Terms & Conditions

ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED, a company incorporated under the Companies Act, 1956 and having its registered office at 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001, Corporate Office at 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051 and Central Service Office at 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063 (hereinafter referred to as "IP - AMC").

WHEREAS IP-AMC is offering to its Unitholder/s (hereinafter referred to as "User/s") a facility to help the User in administering and managing his portfolio of investments more effectively and efficiently by enabling the User to gain access to a consolidated statement of all his investments over the Internet ("the Facility").

WHEREAS the Users are desirous of availing the Facility offered by IP-AMC and are agreeable to the following terms and conditions:

### 1. DEFINITIONS:

"Folio Number" shall mean the number assigned by IP-AMC to represent an investment account of a User.

"Fund" shall mean ICICI Prudential Mutual Fund.

"PIN" shall mean the Personal Identification Number given by the IP-AMC.

"Portal" shall mean the IP-AMC web site known as [www.icicipruamc.com](http://www.icicipruamc.com).

"SEBI" means the Securities and Exchange Board of India.

"Service" means the services offered by IP-AMC to the User on his availing the Facility, more particularly described in Clause 3.

"Terms and Conditions" means the terms and conditions set out herein by which the Facility shall be used by the User and shall include all modifications and supplements thereto from time to time.

"Unit" means an interest of an investor in the Fund consisting of each unit representing one undivided share in the assets of that scheme as evidenced by the Unit Certificate.

"Unitholder" shall mean a participant who is a registered holder for the time being of a Unit of an open-ended scheme of the Fund.

### 2. ELIGIBILITY CRITERIA

2.1 All Unit holders of any of the schemes of the Fund shall be eligible to use the Facility.

2.2 Transactions carried out, by the use of the Facility shall be subject to the offer document to the schemes and the terms and conditions of the schemes of the Fund

### 3. PROCEDURE:

The Facility shall be offered to the Users of IP-AMC.

#### 3.1 Issuance of PIN

A specific PIN shall be issued by IP-AMC against each Folio Number. The PIN shall be sent by post/e-mail to the User to whom the Folio Number is issued.

A User may have multiple accounts with IP-AMC, in which event he/she shall be issued Folio Numbers in respect of each such account, and a corresponding PIN for each account. If, however, the multiple accounts held by a User have already been clubbed under a single folio number, then only one corresponding PIN shall be issued.

In the event that an account is held jointly, the Folio Number and the PIN shall be issued to the first holder of the Account.

On receipt of the PIN number by post the User shall acknowledge of the receipt of the PIN by duly completing and submitting the acknowledgement form in acceptance of the Terms and Conditions.

#### 3.2 Activation of PIN

IP-AMC shall not be under any obligation to activate the PIN until:

- it receives from the User, the written acknowledgement of receipt of the PIN in the manner and form provided by IP-AMC; and
- it satisfies itself that the signature on the acknowledgement form matches with the signature of the User in the record of IP-AMC.

#### 3.3 Access to the Facility

In order to access the Facility for the first time, the User shall be required to create a unique login name and password at the IP-AMC web site.

The User may thereafter, map his/her profile by entering the Folio Number/s and the corresponding PIN. A User's profile may consist of not only his own Folio Numbers, but may also consist of Folio Numbers of other Users who may have authorised the User to act on their behalf as their nominee ("Authorised Nominee"). Each User who nominates another User would be required to sign such form or document and disclose any information as may be required by IP-AMC.

Once the profile has been mapped, in the manner described above, the User may then access the Facility by merely entering his unique login name and password at the IP-AMC web site.

### 4. SERVICES

4.1 A User may avail of any of the following services on accessing the Facility:

- Redemption of Units via Systematic Withdrawal Plan or any other plan;
- Purchase of additional Units by the Systematic Investment Plan or other plan; and
- Switches

4.2 IP-AMC shall periodically provide the User with a written statement of all the transactions made by the User on a [monthly/regular] basis, as is being currently done.

4.3 The User shall check his/her account records carefully and promptly. If the User believes that there has been a mistake in any transaction using the Facility or the Service, or that unauthorised transaction has been effected, the User shall notify IP-AMC immediately.

### 5. IP-AMC AS AUTHORISED AGENT

5.1 The User hereby irrevocably authorises and instructs IP-AMC to act as his/her agent and to do all such acts as IP-AMC may find necessary to provide the Facility.

5.2 Such authority shall be exercisable by IP-AMC upon receiving instructions from the User using the Facility.

### 6. REPRESENTATIONS BY THE USERS

(a) The User shall not disclose / divulge the PIN to any person and shall ensure that no person gains access to it.

(b) The User agrees that IP-AMC may, in its absolute discretion, issue a new PIN to the User on the terms and conditions stated herein or on such terms and conditions as IP-AMC may deem fit.

(c) The User shall, at all times, be bound by any modifications and/or variations made to these Terms and Conditions.

(d) The User agrees that IP-AMC reserves the right to ask the User for an oral or fax confirmation of any transaction request using the Facility and / or any additional information regarding any transaction request.

(e) The User agrees that IP-AMC may suspend the Facility in whole or in part, at any time without prior notice if the User does not comply with any of the Terms and Conditions herein or any modifications thereof.

(f) The User shall not assign any right or interest or delegate any obligation arising herein.

(g) The User agrees that it shall be his sole responsibility to ensure protection and confidentiality of the PIN and any disclosure thereof shall be entirely at the User's risk.

(h) The User shall take responsibility for all the transactions conducted by using the Facility and will abide by the record of transactions generated by IP-AMC. Further such records generated by IP-AMC shall be conclusive proof and binding for all purposes and may be used as evidence in any proceedings.

(i) The PIN, login name and password shall be kept safe and the User shall ensure that the User [or their Authorised Nominee] do not tell or show their PIN, login name or password to any other person.

(j) IP-AMC shall be notified immediately if a record of the PIN or password, is lost or stolen or if the User is aware or suspects another person knows or has used his/her PIN or password without authority. IP-AMC may then cancel the PIN or password and arrange for the User (as the case may be) to select a new one.

(k) The User agrees that any transaction, undertaken using the User's password shall be deemed to be that of the User. If any third party gains access to the Facility by using the User's password, the User agrees to indemnify IP-AMC and its directors, employees, agents and representatives against any liability, costs, or damages arising out of claims or suits by such other third parties based upon or related to such access or use.

(l) The User shall be responsible for his/her own PC anti-virus and security measures to help prevent unauthorised access via the Facility to their transactions and bank accounts, and IP-AMC shall not be liable of any such unauthorised access.

(m) The User agrees that use of the Facility will be deemed acceptance of the Terms and Conditions and the User will unequivocally be bound by these Terms and Conditions.

### 7. INDEMNITIES OF IP-AMC:

The User shall not hold IP-AMC liable for the following:

- For any transactions using the Facility carried out in good faith by IP-AMC on instructions of the User.
- For the unauthorised usage/ unauthorised transactions conducted by using the Facility.
- For any loss or damage incurred or suffered by the User due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
- For any negligence/mistake or misconduct by the User.
- For any breach or non-compliance by the User of the rules/terms and conditions stated in this Agreement.
- For accepting instructions given by any one of the User in case of [an Authorised Nominee] or joint account/s.
- For carrying out a transaction after such reasonable verification as IP-AMC may deem fit regarding the identity of the User.
- For allowing any person who supplies IP-AMC with the PIN or password to have access to the Facility. IP-AMC shall be under no obligation to further ascertain the Users identification.

### 8. NO WAIVER

No forbearance, delay or failure on IP-AMC's part to exercise any power or right under these terms and conditions shall operate as a waiver of such power or right, nor shall any single or partial exercise of such power or right preclude any further exercise of that or any other power or right.

### 9. TERMINATION OF SERVICES/ ACCESS MAY BE WITHDRAWN

#### 9.1 Termination by User

(a) The User may terminate usage of the Facility at any time by giving a written notice to IP-AMC.

(b) The User may request IP-AMC to cancel the authority of his/her Authorised Nominee to access his/her account(s) at any time. IP-AMC may require a written confirmation of this request.

#### 9.2 Termination by IP-AMC

(a) IP-AMC may terminate the Facility without prior notice on occurrence of any of the following events (i) non-compliance of the terms and conditions herein; (ii) death, insolvency, bankruptcy or liquidation of the User; (iii) any other cause arising out of operation of law; and (iv) or such other reason as IP-AMC deems proper.

(b) IP-AMC may also at any time suspend the User's right to participate in the Facility via a written notice to the User for such reason and for such time period it may deem fit at its discretion. IP-AMC will not do this without good reason.

(c) In the event of termination for any reason whatsoever, IP-AMC shall be entitled to recover all outstanding charges and dues from the User, if any.

### 10. PROPERTY RIGHTS IN INFORMATION AND FACILITY

The Facility and the information are the property of IP-AMC or its licensors and are protected by applicable copyright, patent, trademark or other intellectual property law. Except as expressly authorized herein, the User may not reproduce, transmit, sell, display, distribute, publish, broadcast, circulate, modify, disseminate, or commercially exploit such information or any of the Services provided in any manner (including electronic, print or other media now known or hereafter developed) without the written consent of IP-AMC. The User also agrees not to use the information or Services for any unlawful purpose, and the User shall comply with any request of IP-AMC or any of the third party providers to protect their respective rights in the information and services.

### 11. RULES, REGULATIONS AND BYE-LAWS

The User undertakes to comply with all applicable laws and statutory requirements and agrees to be bound by and to diligently follow and ensure compliance with the applicable rules, regulations and by-laws of IP-AMC.

### 12. DISCLAIMER

The Facility and the information on the Portal are for informational purposes only. The Offer Documents provided on the Portal set forth concisely the information about the Schemes that a User ought to know before investing. The particulars of the Schemes have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 as amended till date and filed with the Securities and Exchange Board of India ("SEBI") and the Units being offered for public subscription have not been approved or disapproved by SEBI nor has SEBI certified the accuracy and adequacy of the Offer Documents. The Offer Documents shall remain effective till a "Material Change" (other than a change in the fundamental attributes and within the purview of this Offer Documents) occurs and thereafter the changes shall be filed with the SEBI and circulated to the Users along with the quarterly / half-yearly reports. All Users of this Portal are instructed that any information on it should be used in conjunction with traditional investment techniques, which may include obtaining applicable legal, accounting, tax or other professional advice or services. IP-AMC indemnifies itself from any omissions, errors or investment consequences arising from the use of this material by any User of this Portal.

### 13. CONFIDENTIALITY

The IP-AMC shall keep the information relating to the transactions of the User using the Facility confidential.

Provided however that IP-AMC is entitled to disclose any information or particulars pertaining to the User to any authority, statutory or otherwise as may be required by law.

### 14. PRIVACY

IP-AMC shall not sell or market any personal information or personalized data of its customers to unaffiliated organizations. IP-AMC shall maintain the User's personal information and data according to strict standards of security and confidentiality.

### 15. MISCELLANEOUS

(a) In case of any dispute, either judicial or quasi-judicial the same will be subject to the exclusive jurisdiction of the courts in Mumbai.

(b) Any dispute arising out of or in connection with these Terms and Conditions, will be referred to the arbitration of a sole arbitrator to be appointed by IP-AMC, in accordance with the Arbitration & Conciliation Act, 1996.

(c) These Terms and Conditions are subject to the SEBI (Mutual Funds) Regulations, 1996 as amended from time to time and includes Guidelines, Circular Press Release, or Notification that may be issued by SEBI or the Government of India, to regulate the activities and growth of mutual funds.

I/We, investor/s in the Scheme(s) managed by IP-AMC, accept the above terms & conditions. On the basis of this acceptance I/we request IP-AMC to issue me/us an IPIN.

**Know Your Client (KYC)  
Application Form (For Individuals Only)**

Application  
No. :

Please fill this form in ENGLISH and in BLOCK LETTERS (All Information as applicable in Sections A, B and C below is mandatory)  
This information is sought under the Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.  
For existing Mutual Fund investors, the address(es) furnished herein will be replaced in the records of the Mutual Fund / Authorised Agent. (Refer Notes / Guidelines overleaf)

**A. Identity Details (Please see guidelines A1 to A6 overleaf)**

1. Name of Applicant (As appearing in supporting identification document) Title  Mr.  Ms.  Others  Please specify Gender  Male  Female

Name

Father's Name

2. Date of Birth  /  /

3. Nationality  Indian  Others  Please specify

4. Status Please tick (✓)  Resident Individual  Non Resident: Passport / PIO Card / OCI card No. \_\_\_\_\_  
(Passport copy & overseas address proof mandatory for NRI)

5. Proof of Identity Permanent Account Number (PAN) (MANDATORY)   
Please tick (✓)  Copy of PAN Card attached

Please affix  
most recent  
colour photograph  
30 mm x 40 mm  
Sign across the photograph

**B. Address Details (Please see guidelines B1 to B4 overleaf)**

1. Address for Correspondence

City / Town / Village  Postal Code

State  Country

2. Contact Details

Tel. (Off.) (ISD) (STD)  Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD)  Fax (ISD) (STD)

E-Mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.  
 Latest Land Line Telephone Bill  Latest Electricity Bill  Passport  Driving License  Latest Bank Passbook  Latest Bank Account Statement  
 Latest Demat Account statement  Voter Identity Card  Ration Card  Registered Lease / Sale Agreement of residence  Any other proof of address document (as listed overleaf)

4. Permanent Address of Resident Applicant if different from B1 above OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village  Postal Code

State  Country

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.  
 Latest Land Line Telephone Bill  Latest Electricity Bill  Passport  Driving License  Latest Bank Passbook  Latest Bank Account Statement  
 Latest Demat Account statement  Voter Identity Card  Ration Card  Registered Lease / Sale Agreement of residence  For NRIs - Any other document attested by local authority

**C. Other Details (Please see guidelines C1 and C2 overleaf)**

1. Gross Annual Income Details Please tick (✓)  
 Upto Rs. 5,00,000  Rs. 5,00,001 to Rs. 25,00,000  Rs. 25,00,001 to Rs. 1,00,00,000  Rs. 1,00,00,001 to Rs. 5,00,00,000  Rs. 5,00,00,001 and above.

2. a. Occupation Details Please tick (✓) any one  
 Private Sector Service  Public Sector / Government Service  Business  Professional  Agriculturist  Retired  Housewife  
 Student  Forex Dealer  Others (Please specify) \_\_\_\_\_

b. If the following is additionally applicable to you Please tick (✓) as applicable  
 Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  
For definition of PEP, please refer guideline C3 overleaf

**DECLARATION**

I hereby confirm that I have read and understood the instructions mentioned overleaf and apply to CDSL Ventures Limited (CVL) or other agent of the mutual fund registered under the SEBI (Mutual Funds) Regulations, 1996 for compliance of Know Your Client (KYC) procedure for transacting in units issued by Mutual Funds and I agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to the respective Mutual Funds. I hereby declare that the particulars given herein are true, correct and complete to the best of my knowledge and belief, the documents submitted along with this application are genuine and I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any Notifications, Directions issued by any governmental or statutory authority from time to time. I hereby undertake to promptly inform CVL / the mutual fund agent of any changes to the information provided hereinabove and agree and accept that CVL, the respective Mutual Funds, their authorised agents and representatives (the Authorised Parties) are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by them on the basis of the information provided by me as also due to my not intimating / delay in intimating such changes. I hereby authorize CVL / the mutual fund agent to disclose, share, remit in any form, mode or manner, all / any of the information provided by me to the respective Mutual Funds in which I may transact / have transacted and / or to their authorised agents and representatives including all changes, updates to such information as and when provided by me. I hereby agree to provide any additional information / documentation that may be required by the Authorised Parties, in connection with this application. I hereby confirm that this is a unique KYC application and I have not applied for KYC in the past.

**SIGNATURE OF APPLICANT**

Place :   
Date :

For  
Office  
Use  
Only

Stamp of POS  
(Name & Location)  
& Account's Signature

Name and Employee Number of Receiver

- (Originals Verified) Self Certified Document copies received  
 (Attested) True copies of documents received

KYC Ref.

\*Please note that the KYC Application Form and overleaf instructions should be printed on the same page (back to back), if printed separately then both the pages should be attached and signed by the applicant.\*

## IMPORTANT NOTES - PLEASE READ BEFORE FILLING UP THE FORM

- This Application Form is meant to enable a person to comply with the client identification programme laid down by the Prevention of Money Laundering Act, 2002 (PMLA) hereinafter referred to as Know Your Client (KYC) requirements. It is for use by **INDIVIDUALS** only. A separate form is provided for non-individual entities such as Hindu Undivided Family (HUF), Corporates, Trusts, Societies, etc.
- This form is not an Investment Application Form, and is only meant for providing information and documents required for KYC compliance. Applicant must be KYC compliant while investing with any SEBI registered Mutual Fund which has subscribed to the services of CDSL Ventures Limited (CVL) for compliance of the KYC procedure. A list of participating Mutual Funds is available on the website of AMFI at [www.amfiindia.com](http://www.amfiindia.com).
- Subscription to participating Mutual Fund Units may be made only after obtaining the KYC Acknowledgement at their respective designated Points of Acceptance / Investor Service Centres.
- Each Unitholders / Investors must attach their KYC Acknowledgement along with the Investment Application Form(s) / Transaction Slip(s) while investing for the first time in every folio. Applications Forms / Transaction Slips not accompanied by KYC Acknowledgement are liable to be rejected by the participating Mutual Funds.
- Joint Holders:** Joint holders need to be individually KYC compliant before they can invest with any participating Mutual Fund. e.g. in case of three joint holders, all holders need to be KYC compliant and copies of each holder's KYC Acknowledgement must be attached to the investment application form with any Mutual Fund.
- Minors:** In case of investments in respect of a Minor, the Guardian should be KYC compliant and attach their KYC Acknowledgement while investing in the name of the minor. The Minor, upon attaining majority, should immediately apply for KYC compliance in his/her own capacity and intimate the participating Mutual Fund(s) concerned, in order to be able to transact further in his/her own capacity.
- Power of Attorney (PoA) Holder:** Investors desirous of investing through a PoA must note that the KYC compliance requirements are mandatory for both the PoA issuer (i.e. Investor) and the Attorney (i.e. the holder of PoA), both of whom should be KYC compliant in their independent capacity and attach their respective KYC Acknowledgements while investing.
- If an individual becomes a Mutual Fund Investor due to an operation of law, e.g., transmission of units upon death of an investor, the claimant / person(s) entering the Register of Unitholders of the participating Mutual Fund(s) will be required to be KYC compliant before such transfer can take place.
- The KYC process requires investors to provide their Proof of identity (PAN card copy only) and Proof of Address (any valid documents listed in section B of the KYC Application Form) to comply with KYC requirements. Participating Mutual Funds reserve the right to seek any additional information / documentation in terms of the PMLA at any point of time.
- Participating Mutual Funds/ CVL will not be liable for any errors or omissions on the part of the applicant / Unit holders in the KYC Application Form. Documents received in support of KYC requirements will be verified at the designated "Points of Service" (PoS), on a best effort basis. However acceptance and processing of the KYC Application Form is subject to independent verification by CVL. In the event of any KYC Application Form being rejected for lack of information / deficiency / insufficiency of mandatory documentation, etc. CVL will inform the applicant of such rejection.
- The participating Mutual Fund, its Asset Management Company (AMC), Trustee Company and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / non-allotment of units or mandatory redemption of units / refund due to non-compliance with the provisions of the PMLA, SEBI guidelines or where the AMC / Mutual Fund believes that transaction(s) by an applicant / investors is / are suspicious in nature within the purview of the PMLA and SEBI guidelines and requires reporting the same to Financial Intelligence Unit - India (FIU-IND).
- Once the investor is KYC compliant, he will be required to intimate his/her KYC details to all the participating Mutual Funds with whom he/she has investments. The KYC Compliance will be deemed to have been completed for the investor in all folios held by him/her (identified by his/her PAN) in the records of the participating Mutual Fund.
- In case of NRI, details of overseas address along with proper proof for the same & passport copy are mandatory documents.

## GUIDELINES FOR FILLING UP THE KYC APPLICATION FORM

### General

- The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS**.
- Please tick in the appropriate box wherever applicable.
- Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by canceling and re-writing, and such corrections should be counter-signed by the applicant.
- Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected.
- Applications complete in all respects and carrying necessary documentary attachments should be submitted at the designated PoS. A complete list of PoS is available on the website of AMFI at [www.amfiindia.com](http://www.amfiindia.com), [www.cdslindia.com](http://www.cdslindia.com) and **Mutual Fund websites**.
- You are required to submit a Proof-of-Identity document and a Proof-of-Address document for each address filled by you in this form. Documents submitted to support Identity and Address should be
  - Proof of Identity**
    - Original PAN Card + Self-attested photocopies (Originals will be returned over-the-counter after verification)
  - Proof of Address**
    - Original Documents + Self-attested photocopies (Originals will be returned over-the-counter after verification) **OR**
    - True Copies attested by a Notary Public / Gazetted Officer / Manager of a Scheduled Commercial Bank or Multinational Foreign Banks (Name, Designation and Seal should be affixed on the copy). Unattested photocopies of an original document are not acceptable
    - If the above documents including attestation / certifications are in regional language or foreign language then the same has to be translated into English for submission.

### A. Identity Details

- Name: Please state your name as Title (Mr/Mrs/Ms/Dr/Commander/etc.), First, Middle and Last Name in the space provided. **This should match with the name as mentioned in the PAN card failing which the application is liable to be rejected. If the PAN card has a name by which the applicant has been known differently in the past, than the one provided in this application form, then requisite proof should be provided e.g. marriage certificate, or gazetted copy of name change.**
- Date of Birth: Please ensure that this matches with the Date of Birth as indicated in the PAN card.
- Nationality: Foreign Nationals are not allowed to apply, unless they are Non-Resident Indians (NRIs) or Persons of Indian Origin (PIO).
- Status: Please tick your current residential status.
- Please affix most recent colour photograph and sign across the photograph.

### B. Address Details

- Address for Communication: Please provide here the address where you wish to receive all communications sent by the participating Mutual Funds with whom you invest. **The address you give here will supercede existing information in the records of the participating Mutual Fund / Registrars and Transfer Agent to the participating Mutual Fund. This address should match with the address in the 'Proof-of-Address' submitted as supporting document; otherwise the KYC Application Form is liable to be rejected.**
- Contact Details: Please provide your Telephone / Email contact details. The contact details given by you here **will not supercede** existing information in the records of the participating Mutual Fund / Registrars and Transfer Agent to the participating Mutual Fund. You will have to independently communicate the same to them in case of any change(s).
- Proof of Address Documents: Please note that **each** of the two addresses mentioned by you will need to be supported by a 'Proof-of-Address' bearing your or your spouse's / parent's

(documents to establish relationship also to be submitted) name as supporting documents. Please tick the box as applicable, for the document provided by you. You may attach any one of the following documents (Any document having an expiry date should be valid on the date of submission):

• Latest\* Land Line Telephone Bill • Latest\* Electricity Bill • Passport • Driving License • Latest\* Bank Passbook • Latest\* Bank Account Statement • Voter Identity Card • Ration Card • Latest\* Demat Account Statement • Registered Lease / Sale Agreement of residence • Proof of Address issued by Bank Managers of Scheduled Commercial Banks / Multinational Foreign Banks / Gazetted Officer/ Notary Public / Elected Representatives to the Legislative Assembly / Parliament / Any other document approved by AMFI as a valid address proof.  
\* These documents should not be more than three months old as on the date of submission of this form.

- Permanent Address / Overseas Address: If you are a Resident Indian, and your Permanent address is different from the one mentioned in the Address for Correspondence, please state it here. If you are a Non-Resident Indian or a Person of Indian Origin, it is mandatory for you to state your Overseas Address here.

### C. Other details

- Gross Annual Income details: Please tick the applicable box indicating your Gross Annual Income (including both taxable and tax-free incomes).
- Occupation details: Please indicate your current occupation by ticking the one most applicable to you. You are required to fill up the next section, if it additionally applies to you.
- Politically Exposed Persons (PEP)** are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc.

**After you have completed filling up the KYC Application Form, please submit the same along with the entire set of supporting documents to any designated PoS. Please also submit a photocopy of the Form for acknowledgement purpose, which you can retain for your records.**

**Other important notes, after the KYC Acknowledgement is issued to you:**

- Please preserve the document from CVL which confirms your KYC compliance. You will need to attach photocopies of this document when you invest for the first time in every folio, in any participating Mutual Fund.
- If you observe any error in the details captured by CVL, you are requested to approach your nearest designated PoS.
- If you are already holding investments in any participating Mutual Funds, please provide a copy of your KYC acknowledgement, giving details of your folio / account numbers to such Funds or their Registrars. Please note that your signature on the KYC Application Form should match with that on the records of the participating Mutual Funds.
- In respect of new investors, signature on the Application Form for investing / transacting in participating Mutual Fund should match with that on this KYC Application Form.
- After allotment of KYC compliance, if there are any changes in an Applicant's details such as Name, Address, Status, Income bracket, Occupation or Signature, the change should be registered with CVL through a designated PoS expeditiously, by using the KYC Details Change form. **It should be noted that only after such registration will the change be reflected in the participating Mutual Fund's records. Particularly with respect to change of address, investors should register such change giving 10 days time for the subsequent communications from participating Mutual Funds to reach them at their new address.** Original / Attested copies of documents supporting the change will be required to be submitted together with the KYC Details Change Form. **For any other investment related information or changes thereto, the applicant should approach the participating Mutual Funds or their Registrars.**

Investors Applying for KYC, Please Submit the KYC Documents on A4 Size Paper Only.

## CHECKLIST

(Before submitting this form, please go through the following checklist)

- Please ensure that the form is completed in all respects and signed by you.
- Please affix your recent photograph and sign across the photograph.
- Please attach your PAN card as proof of identity. This should be a photocopy plus original for verification.
- Please attach a Proof of Address Document (one for each distinct address). These should be either original + photocopies or attested / notarised photocopies.
- If you are an NRI, you must mention your overseas address in B(4).
- Please submit a photocopy of the duly completed KYC Application Form.

For assistance or enquiries please approach the Point of Service where you had submitted your KYC Application Form.



Investment Manager: ICICI Prudential Asset Management Company Limited

Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001.

Corporate Office: 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051, Tel: (91) (022) 26428000, Fax: (022) 2655 4165.

Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

## Third Party Payment Declaration

(This should be enclosed with each payment/SIP Enrolment)

**Payments by : Parent/Grand-Parents/Related Persons Other than the Registered Guardian**

**Payments to : To a Minor Folio only;** In consideration of: Natural love and affection or as gift only

**Maximum Value : Not Exceeding Rs 50,000/-** (each regular purchase or per SIP installment)

Folio No.		Application No.		PAN	
<i>(For existing unitholders only)</i>				KYC Acknowledgement : <input type="checkbox"/> Attached	

Beneficial Minor's Name:					
Mr. / Ms.					
Investment Amount	Payment Cheque No.	Cheque Date	Cheque Drawn on A/c. No.		
₹		D D M M Y Y			
Cheque Drawn on Bank:					

### DECLARATION & SIGNATURES

	Parent/Grand Parents/Related Persons other than the Registered Guardian	Guardian of Minor, as registered in the folio
Name		
Relationship with Minor:		
IT PAN		
KYC Acknowledgement (Mandatory - any amount)	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached
Declaration	I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.	I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor.
Signature		
Contact Number		

### Bankers Certificate in case of Demand Draft/Pay Order/Any Other pre-funded instrument:

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

Instrument Type:	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order
Instrument Number:		Dated: D D M M Y Y
Investment Amount:		
In Favour of / Favours:		
Payable At:		

#### Details of Bank Account Debited for issuing the instrument

Bank Account Number:		Account Type	
<input type="checkbox"/> Demand Draft OR <input type="checkbox"/> Pay Order		Issued through cash <input type="checkbox"/> Yes / <input type="checkbox"/> No   Debit from account <input type="checkbox"/> Yes / <input type="checkbox"/> No <i>(Cash shall not be accepted for investment of Rs.50,000/- or more)</i>	
Account Holder/Applicant Details	Name	Income Tax PAN	
1.			
2.			
3.			

#### If the issuing bank branch is outside India

We further declare that we are registered as a Bank/branch as mentioned below:

Under the Regulator	Name of Regulator
In the Country	Country Name
Registration No.	Registration Number

We confirm having carried out necessary Customer due diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws in our country.

Branch Manager/Declarant(s):

Signature: \_\_\_\_\_

Name: \_\_\_\_\_ Address: \_\_\_\_\_

\_\_\_\_\_ City \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_ Contact No. \_\_\_\_\_

Bank & Branch Seal

**Custodian on behalf of an FII or client:**

Should be enclosed with each payment

**To whomsoever it may concern**

**Application and Payment Details**

*(All details below are mandatory)*

<b>Folio No.</b>		<b>Application Form no.</b>	
<b>Beneficial Applicant/ Investor Name:</b>			
<b>Investment Amount</b>			
<b>Payment Mode</b>	<input type="checkbox"/> Cheque	<input type="checkbox"/> Funds Transfer	<input type="checkbox"/> RTGS <input type="checkbox"/> NEFT
<b>Payment Cheque /UTR No.</b>		<b>Dated:</b>	D D M M Y Y
<b>Payment from Bank:</b>			
<b>Payment from A/c No.:</b>			

We further declare that we are registered as a Custodian with SEBI under Registration No: \_\_\_\_\_

We confirm that the beneficial owner stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Signature of Declarant(s): \_\_\_\_\_  
(Acting as a Banker/Custodian)

Name(s) of Declarant(s): \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_

Address of Declarant(s): \_\_\_\_\_

City \_\_\_\_\_

Postal Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_

**Payment by Employer on behalf of Employee under Systematic Investments Plans through Payroll deductions**

**To whomsoever it may concern**

We hereby declare that the application form no: \_\_\_\_\_ for subscription of units in \_\_\_\_\_

\_\_\_\_\_ (Name of the Scheme /Plan/ Option) is accompanied by cheque no: \_\_\_\_\_

dated \_\_\_\_\_ drawn on \_\_\_\_\_ (Name of the Bank / Branch).

We confirm that the beneficial owner(s) of the investment in these units is/are \_\_\_\_\_

\_\_\_\_\_ (Name of the Employee, with employee number), who is my / our employee and am providing the funds for these investments through the payroll deduction.

Signature of Declarant(s): \_\_\_\_\_

Name(s) of the Declarant(s): \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_

Address of Declarant(s): \_\_\_\_\_

City \_\_\_\_\_

Postal Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_

**Signature(s) of Beneficiary(ies)**

1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_

Please refer to the instruction no. VI(e) on page no. 25 for the details on Third Party Declaration.



Investment Manager: ICICI Prudential Asset Management Company Limited

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Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

## Multiple Bank Accounts Registration Form

Please strike unused sections to avoid unauthorised use.

Folio No.		/		Application No.		PAN	
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(For existing unitholders only)

Name of Sole/First Unitholder

Mr. / Ms. / M/s.	
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### A – ADDITION OF BANK ACCOUNTS

Please register my/our following bank accounts for all investments in my/our folio. I/we understand that I/we can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/we understand that the bank accounts listed below shall be taken up for registration in my/our folio in the order given below and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non individuals.

For each bank account, investors should produce originals for verification or submit originals of the documents mentioned below.

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
PIN	9 Digit MICR code ^
	IFSC Code ^^ (11 digit)
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

^ 9 digit code on your cheque next to the cheque number. ^^ 11 digit code printed on your cheque.

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
PIN	9 Digit MICR code ^
	IFSC Code ^^ (11 digit)
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
PIN	9 Digit MICR code ^
	IFSC Code ^^ (11 digit)
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
PIN	9 Digit MICR code ^
	IFSC Code ^^ (11 digit)
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

### B - DEFAULT BANK ACCOUNT

From among the bank accounts registered with you or mentioned above, please register the following bank account as a Default Bank Account into which future redemption and/or dividend proceeds, if any of the above mentioned folio will be paid:

Bank Account Number		Bank Name	
---------------------	--	-----------	--

SIGNATURES (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder





**MULTIPLE NOMINATION FORM**

ICICI Prudential Asset Management Company Limited  
 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063.  
 Phone: (91)(22) 26852000, Fax: (91)(22) 2686 8313 • e-mail: enquiry@icicipruamc.com

Date: \_\_\_\_\_

1. I/We, Mr/Ms/Mrs \_\_\_\_\_ hereby nominate the following to receive the amounts under folio no (mentioned below)/application number (printed below) in the event of my/our death. For existing investors folio no. is mandatory, in the absence of which the nomination will be rejected.

Folio No. \_\_\_\_\_

Application No. \_\_\_\_\_

**Nominee 1**

**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 1" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 1" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 1" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

**Nominee 2**

**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 2" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 2" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 2" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

**Nominee 3**

**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 3" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 3" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 3" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

2. I/We agree and accept that: (a) All payments and settlements made to nominee(s) and signature of nominee(s) acknowledging will constitute a full & valid discharge of the liability of the AMC/Mutual Fund/Trustees. (b) This nomination will stand cancelled in the event of the nominee(s) pre-deceasing me/us. In case of multiple nominations, if any of the nominee(s) is/ are deceased, the said nominee(s) share will be distributed equally amongst the surviving nominees or in such proportion as may be communicated by me/us. (c) I/We have read the AMC's instructions on nomination in the KIM/SID/SAI and I/We hereby confirm to adhere to such rules or amendments thereto as may be made from time to time. (d) The nomination will be registered only when it is complete in all respects to the satisfaction of the AMC. (e) The AMC will not entertain any claim other than that of a registered nominee(s), unless so directed by any competent court. (f) This instruction supercedes all previous nominations made by me/us in respect of the folio(s) indicated above.

**Signature**

First Holder \_\_\_\_\_ Second Holder \_\_\_\_\_ Third Holder \_\_\_\_\_

**Instructions**

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee."
- If the sum of the percentage entered in the nomination is less than 100% then this application will be rejected.
- If the nomination percentage is not mentioned, equal weightage would be given to each nominee.
- In case of existing customer the folio no. is mandatory. In the absence of which, the nomination will be rejected.

# INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM

## I. GENERAL INSTRUCTIONS

- a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in BLOCK Letters.
- b) The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.  
**Signature mismatch cases:** While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC / Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors. A list of such documents may be notified by AMC from time to time on its website.
- c) If you are a new investor and wish to apply for SIP through Auto Debit by way of Electronic Clearing Service (ECS) or Standing Instructions to your bank account, you are required to fill in the respective form, in addition to the Common Application Form.
- d) The investors who wish to avail Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) / Dividend Transfer Plan (DTP) / Trigger / Entry Trigger / Liquidity facilities must fill in the Smart Features form in addition to the Common Application Form or use separate transaction forms available in any of the ICICI Prudential Mutual Fund Customer Service Centers.
- e) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument (Cheque, Demand Draft etc) that accompanies the application.
- f) The Application completed in all respects along with the cheque/demand draft, must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable, are liable to be rejected and the money paid will be refunded without interest.
- g) No receipt will be issued for the Application money. The Customer Service Centers will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.
- h) **Overwriting on application forms/transaction slips:** In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

## II. UNITHOLDERS INFORMATION

### a) Existing Unit-holders

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the Folio Number in Step 1 and proceed to Step 4 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio.

### b) New Applicant

1. Name and address must be given in full (PO. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
2. Name of the guardian must be mentioned, if the investments are being made on behalf of a minor. Date of Birth of the First Applicant is mandatory in case of a minor.

**Investments of the existing minor investor, on minor attaining majority:** Upon attaining majority, a minor has to write to the fund, giving his/her specimen signature duly authenticated by his/her banker, as well as his/her new bank mandate, PAN details, UIN details (if applicable as per prevalent SEBI Guidelines), in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his/her own right.

3. In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

4. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notary (f) Judicial Authority.

5. Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.

6. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividends / redemptions / refund warrants and any other correspondence sent from time to time.

7. Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.

8. **Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

## III. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular I/AMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. Please quote 9 Digit MICR Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code Number. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details are available.

## IV. INVESTMENT DETAILS

- a) The Investor has to fill a separate form for schemes that he/she wishes to invest in through a Lumpsum Investment and Systematic Investments
  1. The Investor can opt to invest in 2 different schemes through one Lumpsum Investment form and must ensure that all the relevant details are correctly filled.
  2. The Investor can invest in only one scheme through one Systematic Investment form; he/she has to fill a separate form for each scheme in which he/she wishes to invest in through a Systematic Investment Plan.
- b) Investor should select only one option (growth/dividend) and corresponding sub-option (e.g. Reinvest/Payout in case of Dividend Option) under the scheme(s) wherever applicable by ticking the appropriate box. In case of Dividend Transfer Plan, the Investor must fill in the Smart Features form separately.
- c) Investors opting for the Automatic Encashment Plan (AEP) option (under the Monthly Income Plan, MIP 25 & Income Plan) are requested to choose either the AEP-Regular option or the AEP Appreciation Option. The investor has the option of selecting either Monthly/Quarterly/Half Yearly sub option under the Appreciation Option. In case investor has selected multiple options under AEP, the default option would be AEP Regular option, and the default sub option under Appreciation Option would be Monthly sub option.
- d) In case, the investor has not selected the option/sub-option for his investments, default option/sub-option as prescribed in the offer document of the relevant scheme will be applied.
- e) For minimum application amount &, please refer to Key Scheme Features Table given on page 27-33.

## V. SYSTEMATIC INVESTMENT PLAN (SIP)

- a) For availability of Systematic Investment Plan (SIP) Facility, please refer to the Key Scheme Features on page nos. 27-33, & also refer to Instruction No.VI (a) for mode of payment for SIP through PDCs.
- b) Investors opting for the SIP Facility, need to fill the Common Application Form For Systematic Investments and tick any of the payment option such as
  - i. SIP payment through Bank Standing Instruction/Direct Debit
  - ii. Auto Debit by way of Electronic Clearing Service (ECS)
  - iii. Post Dated Cheques (PDCs).
  - iv. If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investors also need to fill & sign the respective Standing Instructions form.
- c) The Second SIP installment amount and the subsequent SIP installment amounts should be of the same amount. However, the First SIP installment need not be of the same amount as Second and Subsequent SIP installments amount. This is applicable only to investors opting for SIP through PDCs.
- d) In case of SIP transaction where, the mode of payment is through Standing Instruction/Auto Debit facility (offered by select banks) or ECS, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment.
- e) Investors subscribing for SIP are required to submit their SIP request at least 30 days prior to the date of first debit date and the SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP Application.
- f) In case of SIP with payment mode as ECS/Auto Debit, the investors are required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
- g) For exit load structure under the Schemes, please refer to the Key Scheme Features on page nos. 27-33.
- h) Please issue post dated cheques dated for either the 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unit holder indicating the new balance to his or her credit in the account.
  1. For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website [www.icicipruamc.com](http://www.icicipruamc.com)
    - a. **Option 1:** The First SIP installment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the other installments should be through post dated cheques for the SIP dates, i.e. the 7th or 10th or 15th or 25th of the month. The SIP date selected by an Investor should fall at least 30 days after the date of first SIP installment. For Example; if the first SIP installment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after November 11, 2010.
    - b. **Option 2:** In case all the installments (i.e. including the first Installment) are paid through post-dated cheques, the SIP Application along with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC, at least 30 days before the start of the SIP. The Post dated cheques for all of the SIP installments (including the first Installment), should be dated as per the specified SIP dates only, i.e. the 7th or 10th or 15th or 25th of the month.
    - c. For all SIP applications with Post Dated Cheques, the SIP date selected by an Investor should fall at least 30 days after the date of first SIP installment. For Example; if the first SIP installment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after November 11, 2010.
  - i) SIP Auto Debit via ECS (Debit Clearing) is available at the following 87 centers: • Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhavnagar • Bhubaneswar • Bhopal • Bhubaneswar • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • New Delhi • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Thiruvananthapuram • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Tumkur • Udaipur • Udupi • Varanasi • Vijaywada • Vishakhapatnam.
  - j) Existing investors opting for a SIP need to provide their Folio Number along with the SIP details.

- k) **SIP TOP UP Facility:** (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals. (b) The TOP UP amount has to be in multiples of Rs.500 only. (c) The frequency is fixed at a Half Yearly and a Yearly basis. If the frequency is not selected, the TOP UP facility may not be registered. (d) In case of Quarterly SIP frequency, only Yearly frequency is available under SIP TOP UP.

- l) **MICRO SIP:** Systematic Investment Plans (SIP's) where the aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March, does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter). Micro SIP investors are required to submit any of the following **Photo Identification Documents** along with the Micro SIP applications as a proof of identification, if the investors PAN Card details are not provided: (1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account); (7) Employee ID cards issued by companies registered with Registrar of Companies (the database is available at the following link of the Ministry of Company Affairs - <http://www.mca.gov.in/DCAPortalWeb/>) (8) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen / Freedom Fighter ID card

# INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM (Contd.)

issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); (13) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC/EPFO.

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with the Micro SIP application. Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN. Micro SIP application without the supporting document will liable to be rejected.

This exemption will not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.

## VI. MODE OF PAYMENT

- The cheque/demand draft should be drawn in favour of ICICI Prudential "Scheme Name" for example ICICI Prudential Liquid Plan, as the case may be and crossed "Account Payee Only". You may also refer to the Index on Page Number 2 for the same.
- Separate Cheques / Demand Drafts are required for each scheme in which an investor invests.
- Payments by Stock-invests, cash, postal orders, money orders and outstation cheques will not be accepted.
- The Fund will bear the demand draft charges subject to a maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at locations where the Asset Management Company (AMC)'s Customer Service Centers/Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges of Demand Draft(s)
Upto Rs. 10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs. 10,000/-	Rs. 3/- per Rs. 1000/-
Maximum Charges	Rs. 50,000/-

However, the demand draft charges will not be reimbursed for ICICI Prudential Liquid Fund, ICICI Prudential Short Term Plan, Prudential ICICI Gilt Fund – Treasury Plan and ICICI Prudential Floating Rate Plan.

The AMC reserves the right to refuse the reimbursement of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion, which will be final and binding on the investor.

Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located are requested to make the payment by way of demand draft(s) after deducting bank charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be reimbursed by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/ Collection Centers of the AMC are located.

- Investment/subscription made through Third Party Cheque(s) will not be accepted.**

**Third party cheque(s) for this purpose are defined as:** (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment).
- Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
- Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

- If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
- If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form.

## f) NRI/FII/PIO Investors

- Repatriation basis:** Payments by NRI's / FII's / Persons of Indian Origin (PIO's) residing abroad, may be made either by way of Indian Rupee demand drafts or cheques by means of (i) inward remittance through normal banking channels; (ii) or out of funds held in NRE/FCNR accounts payable at par and payable at the cities where the Customer Service Centre's are located. In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
- Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on a Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centre's are located.

## VII. NOMINATION

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands withdrawn upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- Investors who want to make multiple nominations need to fill the separate Multiple Nomination Form on page 19 and submit it to the AMC.

**VIII. DIRECT CREDIT OF DIVIDEND/REDEMPTION:** ICICI Prudential AMC had entered into an arrangement with certain banks; such as Citibank N.A., HDFC Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate at any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time / withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

**IX. E-MAIL COMMUNICATION:** Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has communicated his / her e-mail address and provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor. Account statements will be sent via email, by default, to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email.

**X. KNOW YOUR CUSTOMER (KYC) NORMS:** With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Please refer page no. 17 for KYC form for individuals and refer to AMC's website or CVL website for non-individual KYC form.

**Non PAN based KYC applicability:** For certain nature of transactions and type of clients, PAN is not mandatory. In such cases common standard KYC through CVL will not apply and the client will have to submit certain documents as elaborated below and KYC will be handled by the AMCs/RTAs directly.

- Micro SIPs:** Micro schemes such as Micro SIPs upto Rs. 50,000 per year per investor.

### Documents required:

- Standard specified identification instruments like Voter ID card, Government/Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN.
- Proof of address copy. It is clarified that where photo identification documents contains the address of the investor, a separate proof of address is not required.
- Supporting documents copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

- Investments from Investors residing in Sikkim:**

### Documents required:

- Proof of address of Sikkim state and application form should mention the same address.
- Address proof shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

These new and revised guidelines are not applicable on following transactions:

- Existing SIP / STP / DTP registrations (and similar facilities) including those received till December 31, 2010
- Dividend reinvestment transactions of any amount.

**XI. Demat/Non-Demat Mode:** 1) Investors can hold units in Dematerialized (Demat)/Non-Demat mode. In case the investor has not provided his / her Demat account details or the details of DP ID / BO ID provided by the investor is incorrect, or Demat account is not activated or not in an active status, the units would be allotted in Non-Demat mode. 2) Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in non-Demat mode. 3) Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.