

**RR EQUITY BROKERS PRIVATE LIMITED**

**Additional Risk Management Policy w.r.t. SEBI Circular No.**

**CIR/HO/MIRSD/DOP/CIR/P/2019/75&CIR/HO/MIRSD/DOP/CIR/P/ 2019/95.**

**Right to sell clients securities or close clients positions, without giving notice to the client, on account of non-payment of clients dues**

RREBPL (RR Equity Brokers Private Limited) shall be entitled to liquidate/close out all or any of the clients position including securities held in "Client unpaid securities account" as well as "Client Collaterals accounts" towards margins without giving any notice to the client for non-payment of margins or other amounts including the pay-in obligations, outstanding debts etc. and adjust the proceeds of such liquidation/close out, if any, against the clients liabilities/obligations. In such event client shall be solely responsible for all the losses and also liable for all and any penalties and charges levied by the exchange(s).

RREBPL (RR Equity Brokers Private Limited) may transfer all or part of the securities from Pool/Client unpaid securities account to client's demat account.

In case of partial payment, RREBPL (RR Equity Brokers Private Limited) may retain full value of securities till the 5th day from the pay-out date.

RREBPL (RR Equity Brokers Private Limited) shall have the right but not obligation to sell clients securities or close out client's position.

**Deregistering a client :**

RREBPL (RR Equity Brokers Private Limited) may de-register the client account based on action taken by SEBI/NSE/BSE or being part of list of debarred entities published by SEBI.

RREBPL (RR Equity Brokers Private Limited) may also initiate action for deregistering a client on basis of information found in sites of CIBIL or legal matter or client having suspicious back ground, link with suspicious organization, etc.

RREBPL (RR Equity Brokers Private Limited) shall have right to close out the existing positions; sell the collaterals to recover its dues, if any, before de-registering the client.

RREBPL (RR Equity Brokers Private Limited) may freeze the shares of the client where it deems prudent, at time of de-registering a client.

Regards

**RR Equity Brokers Private Limited**



**Rajeev Kumar Saxena  
Compliance Officer**

